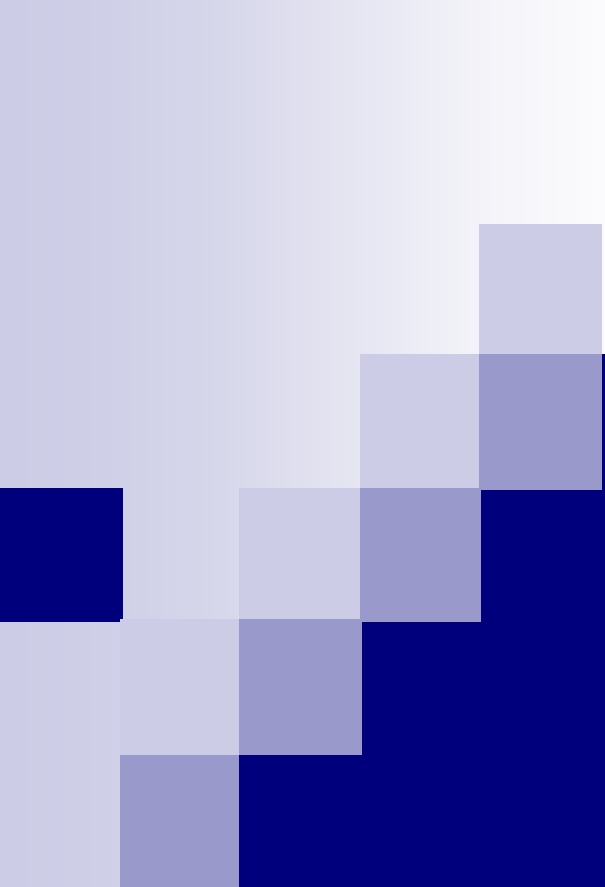




Logic Models—The Key to Useful and Practical Program Evaluation

By:

Thomas J. Chapel, MA, MBA

Chief Evaluation Officer

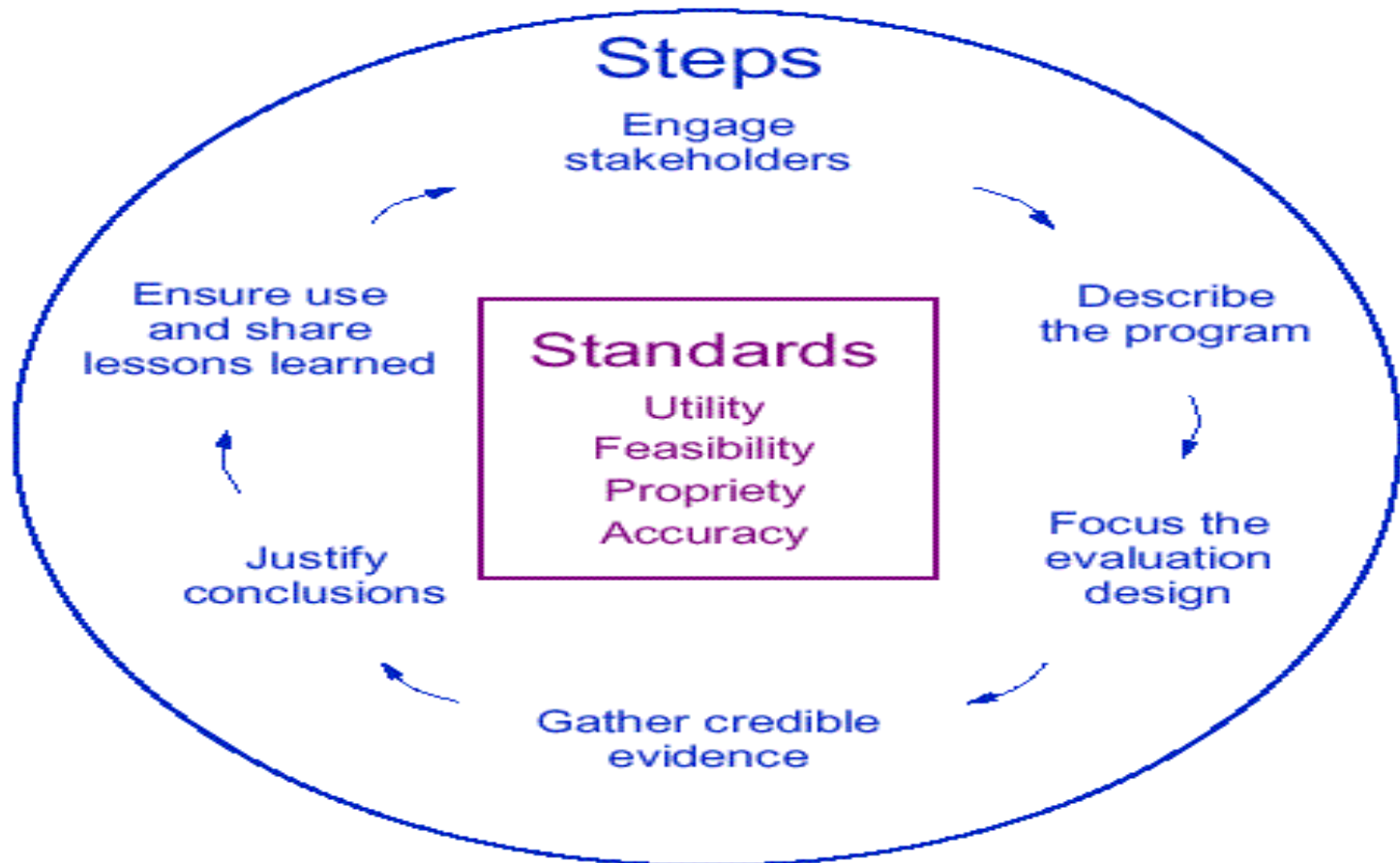
Centers for Disease Control and Prevention

TChapel@cdc.gov

404-639-2116

Framework for Program Evaluation

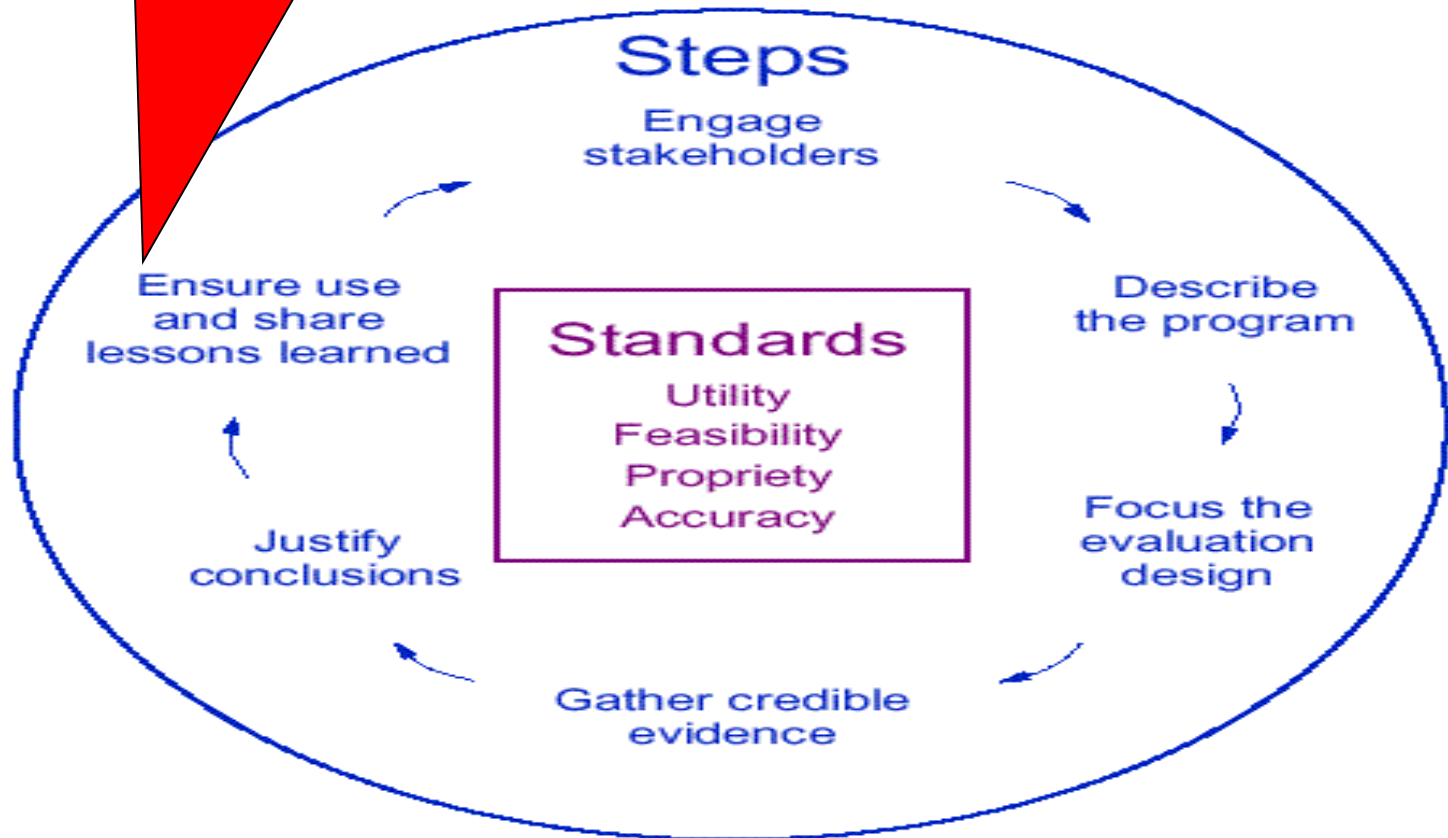
FIGURE 1. Recommended framework for program evaluation



**Good M&E = use
of findings**

Evaluation Framework

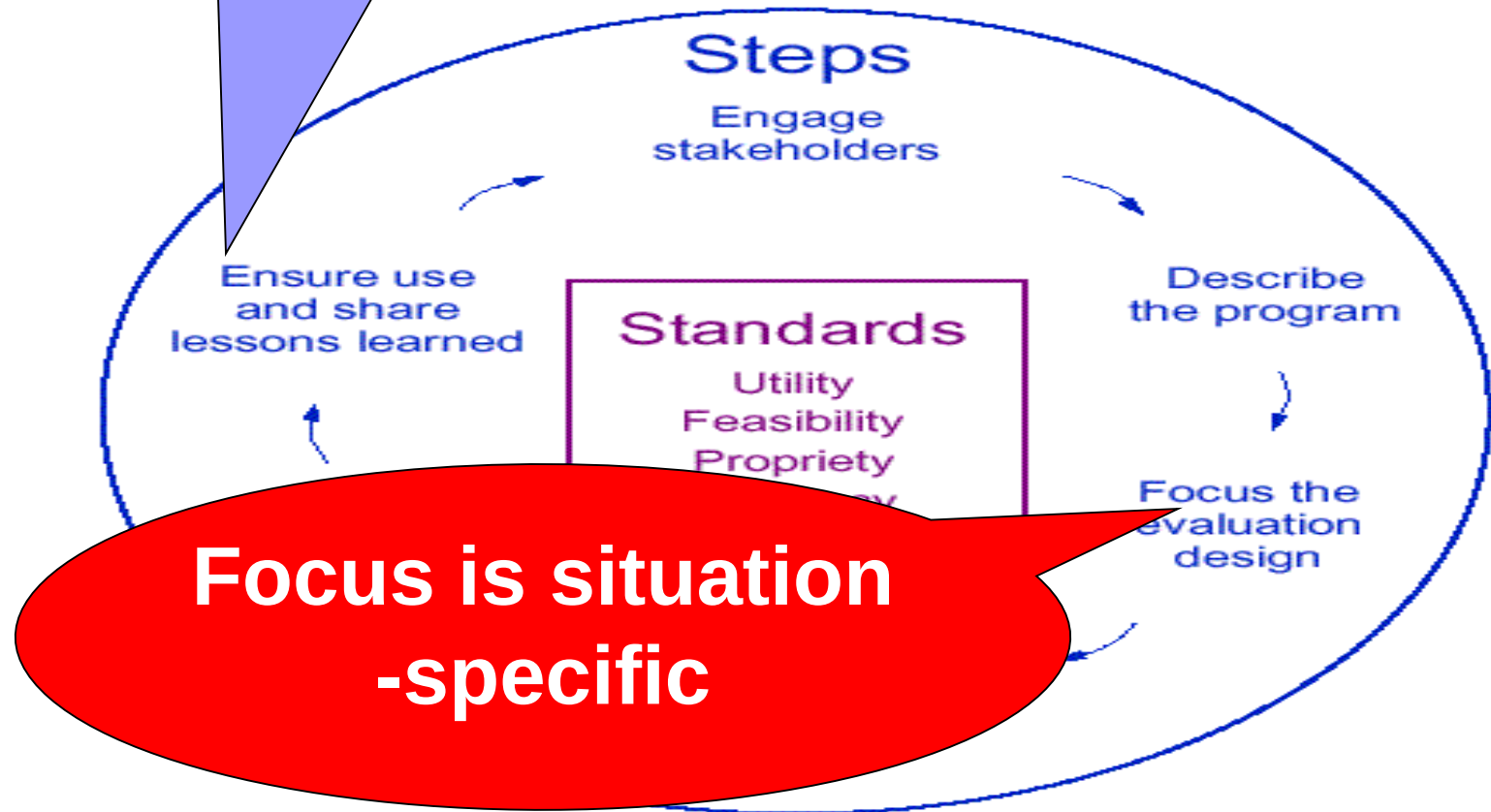
FIGURE 1. Recommended framework for program evaluation



Good M&E= use
of findings

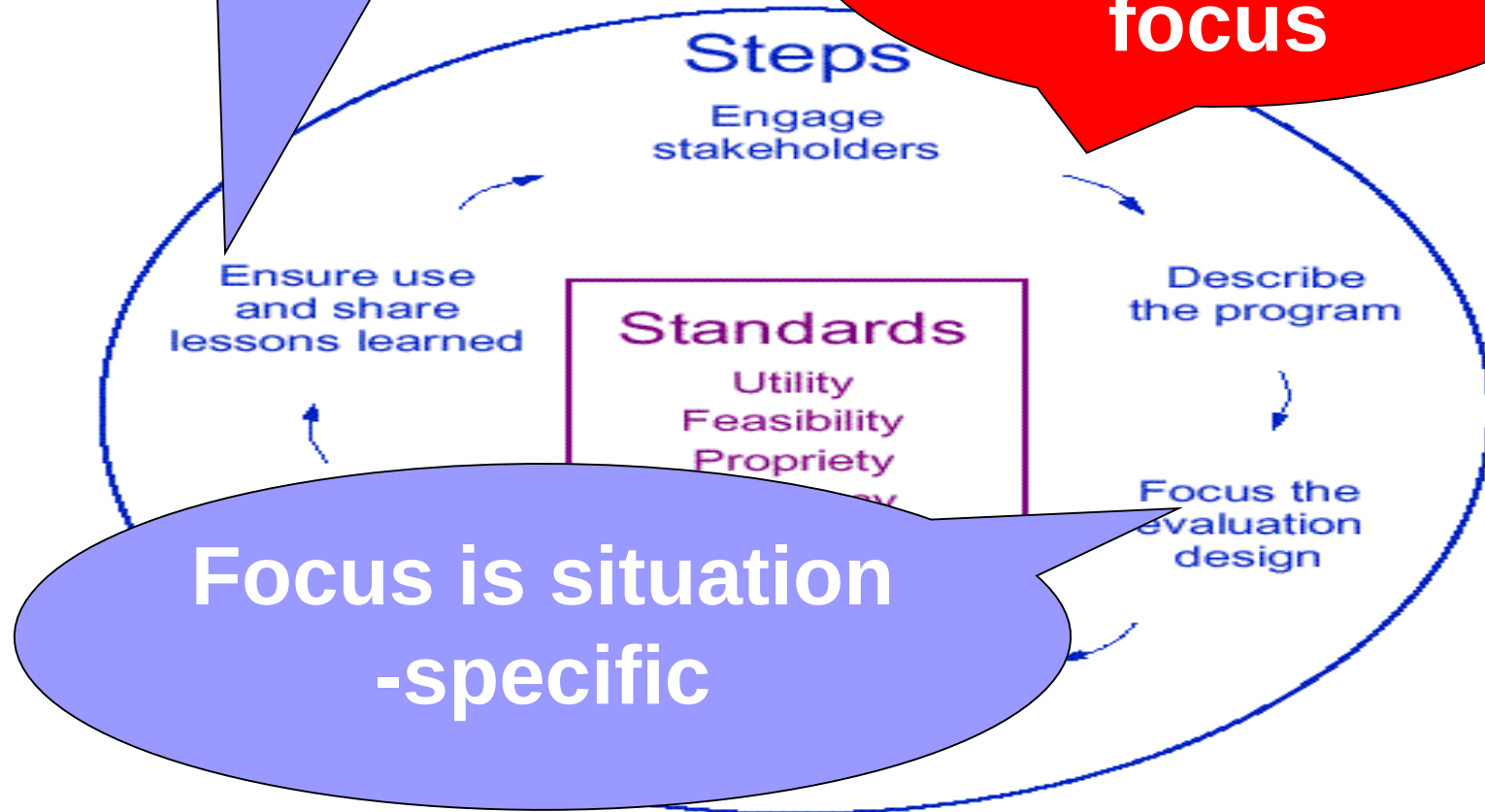
Evaluation Framework

FIGURE 1. Recommended framework for program evaluation



Good M&E = use
of findings

Early steps
key to best
focus

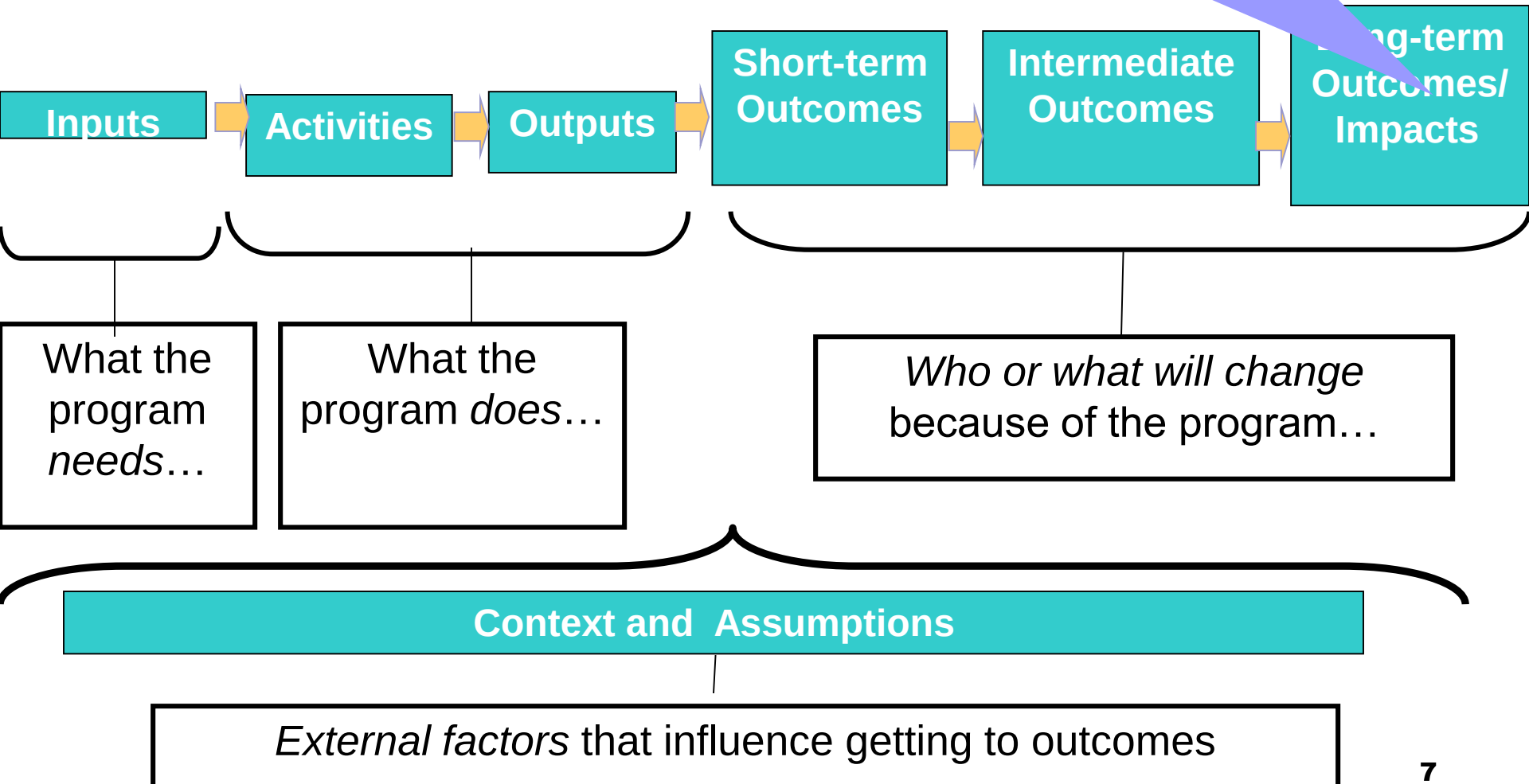


6 Things Every Program Needs to Know...

1. Big “need” to which it is contributing
2. Basic roadmap: “what” → “so what” → need
3. “Accountable” outcome
4. ST outcomes that → accountable outcome
5. “Strong” activities that → ST outcomes, AND what “strong” means
6. Contextual factors that help/hobble

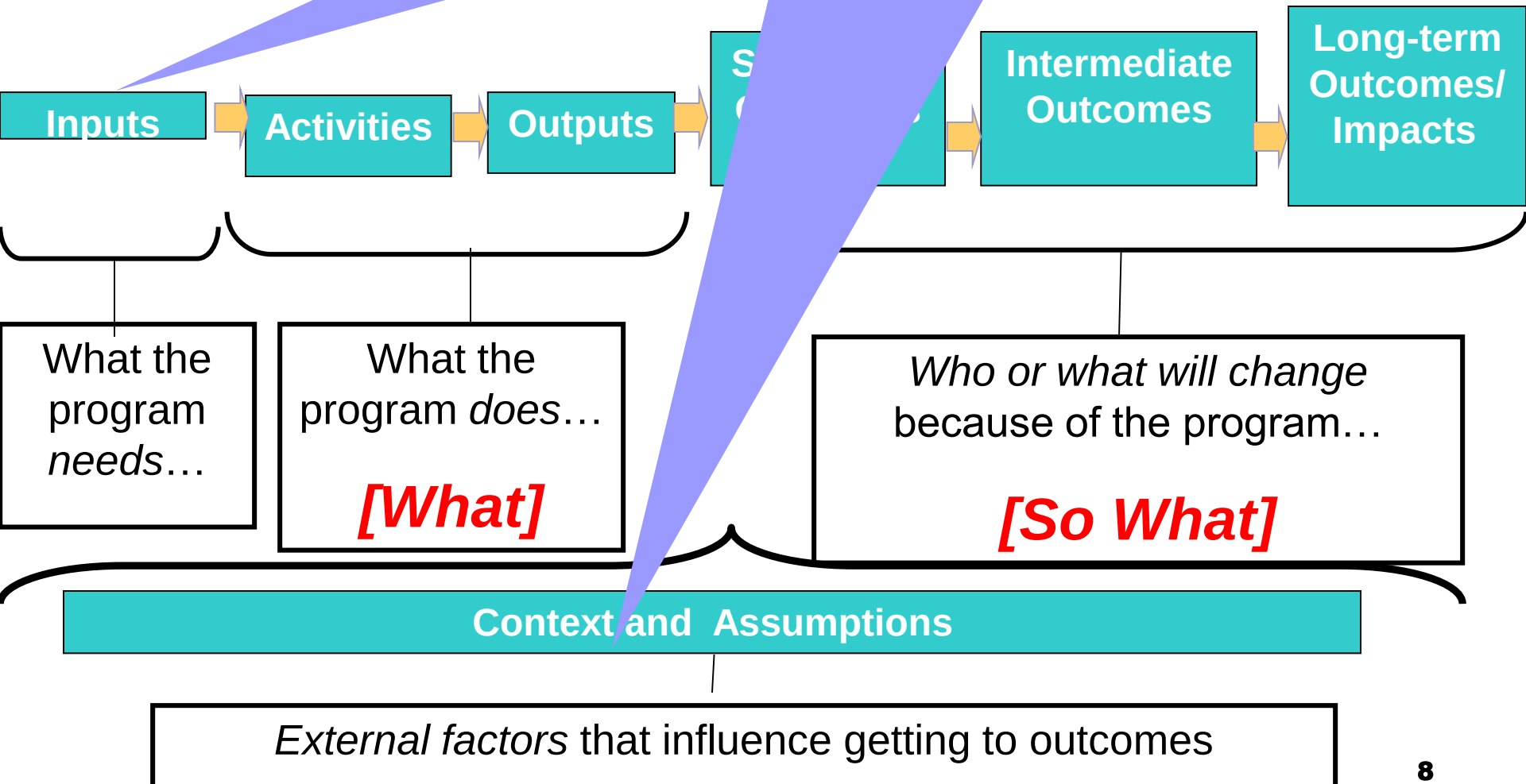
1. Big “need” to which program is contributing

Simple Logic



2. Roadmap of “what” and “so what”

Simple L



Logic Models and Program Description

- ***Logic Models : Graphic depictions of the relationship between your program's activities and its intended effects***



Case: Lead Poisoning

Lead poisoning is a widespread environmental hazard facing young children, especially in older inner-city areas. Exposure lead has been linked to cognitive disruption and behavioral disorders, especially when exposure occurs early in life. The main sources of lead poisoning in children are paint and dust in older homes with lead-based paint. Lead poisoning effects can be ameliorated through medical interventions. But, ultimately, the source of lead in the environment must be contained/eliminated through renovation or removal of the lead-based paint by professionals. Short of that, families can reduce the bad effects on their children through intensive housekeeping practices and selected nutritional interventions.



The Program

County X, with a high number of lead-poisoned children, has received money from CDC to support its Childhood Lead Poisoning Prevention Program. The program aims to do outreach and identify children to screen, screen and identify those with elevated blood lead levels (EBLL), assess their environments for sources of lead, and case manage both their medical treatment and the correction of their environment. They will also train families of EBLL children in selected housekeeping and nutritional practices. While as a grantee they can assure medical treatment and reduction of lead in the home environment, the grant cannot directly pay for medical care or for renovation of homes.

Listing Activities and Outcomes:

Lead Poisoning

■ Activities

- Outreach
- Screening
- Case management
- **Referral** for medical tx
- Identification of kids with elevated lead (EBLL)
- Environmental assessment
- **Referral** for env clean-up
- Family training

■ Effects/Outcomes

- Lead source identified
- **Families** adopt in-home techniques
- **Providers** treats EBLL kids
- **Housing Authority** eliminates lead source
- *EBLL reduced*
- *Developmental “slide” stopped*
- *Q of L improved*

Global Logic Model: Childhood Lead Poisoning Program

Early Activities

If we do...

Outreach

Screening

ID of elevated kids

Case mgmt of EBLI kids

Later Activities

And we do...

Environmental assessment

Environmental referral

Family training

Referral to medical tx

Early Outcomes

Then....

Lead source identified

Lead source gets removed

Families adopt in-home techniques

EBLI kids get medical treatment

Later Outcomes

And then...

EBLI reduced

Develop'l slide stopped

Quality of life improves

Case Example

Half of all pregnancies are unplanned. Good preconception health is simply the level of health that will give a woman of child-bearing age her healthiest baby if she were to become pregnant. Preconception care means that women work with their health care providers to attain optimal preconception health, including:

- eating a good diet,
- participating in healthy physical activity,
- getting care for health problems (such as high blood pressure or Diabetes),
- avoiding tobacco, alcohol and drug misuse,
- waiting sufficient time between pregnancies, and
- getting routine health check-ups and screenings.

When a woman is healthy before pregnancy, her baby has an increased chance of being born healthy too. The goal of the program is to reduce the state's high infant mortality rate and the racial disparities in that rate. The HW/HB Preconception Care Program addresses women who:

- had a preterm birth, low birth weight baby, an infant death, stillbirth or fetal death (previous poor birth outcome),
- are African American/Black women and other ethnic or minority populations,
- are Medicaid eligible, medically underinsured, or uninsured,
- have chronic diseases including hypertension and diabetes,
- live in high-risk geographic locations,
- have psychosocial risk factors, or
- are in need of increased social supports.



Case Example

The program provides:

- Reproductive health services
- Nutrition counseling and services
- Pregnancy diagnosis and counseling,
- Contraceptive education, counseling, and access to a broad range of contraceptive methods,
- Testing and treatment for STDs and HIV/AIDs
- Screening for alcohol, drug, and tobacco use and referral to cessation/treatment programs
- Genetics information, education and referral
- Social work and counseling services to address family psychosocial needs

In addition, trained community support services personnel provide street level outreach, marketing and promotion, reinforce patient education and assist patients with social service needs

Case Example

Half of all pregnancies are unplanned. Good preconception health is simply the level of health that will give a woman of child-bearing age her healthiest baby if she were to become pregnant. Preconception care means that women work with their health care providers to attain optimal preconception health, including:

- eating a good diet,
- participating in healthy physical activity,
- getting care for health problems (such as high blood pressure or Diabetes),
- avoiding tobacco, alcohol and drug misuse,
- waiting sufficient time between pregnancies, and
- getting routine health check-ups and screenings.

When a woman is healthy before pregnancy, her baby has an increased chance of being born healthy too. The goal of the program is to reduce the state's high infant mortality rate and the racial disparities in that rate. The HW/HB Preconception Care Program addresses women who:

- had a preterm birth, low birth weight baby, an infant death, stillbirth or fetal death (previous poor birth outcome),
- are African American/Black women and other ethnic or minority populations,
- are Medicaid eligible, medically underinsured, or uninsured,
- have chronic diseases including hypertension and diabetes,
- live in high-risk geographic locations,
- have psychosocial risk factors, or
- are in need of increased social supports.

Case Example

The program provides:

- *Reproductive health services*
- *Nutrition counseling and services*
- *Pregnancy diagnosis and counseling,*
- *Contraceptive education, counseling, and access to a broad range of contraceptive methods,*
- *Testing and treatment for STDs and HIV/AIDs*
- *Screening for alcohol, drug, and tobacco use and referral to cessation/treatment programs*
- *Genetics information, education and referral*
- *Social work and counseling services to address family psychosocial needs*

- *In addition, trained community support services personnel provide street level outreach, marketing and promotion, reinforce patient education and assist patients with social service needs*



Case Example

Activities: IF we (the program) provides:

- Reproductive health services
- Nutrition counseling and services
- Pregnancy diagnosis and counseling,
- Contraceptive education, counseling, and access to a broad range of contraceptive methods,
- Testing and treatment for STDs and HIV/AIDs
- Screening for alcohol, drug, and tobacco use and referral to cessation/treatment programs
- Genetics information, education and referral
- Social work and counseling services to address family psychosocial needs
- Street level outreach
- Marketing and promotion
- Reinforcement of patient education
- Assistance with social service needs

Case Example

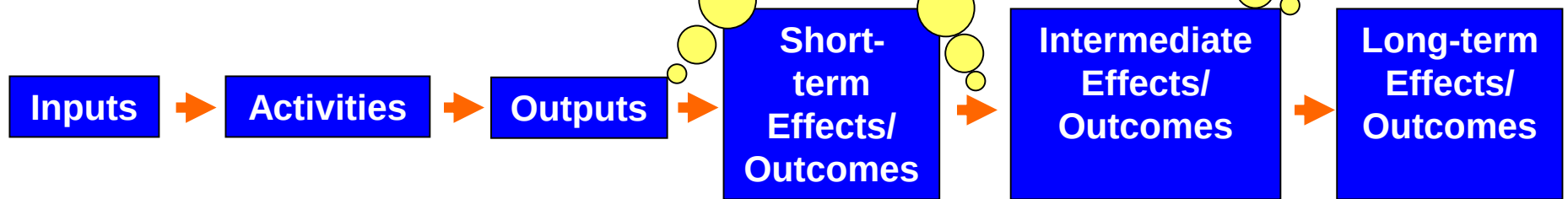
Outcomes: THEN: Women of child-bearing age

- eat a good diet,
- participate in healthy physical activity,
- get care for health problems (such as high blood pressure or Diabetes),
- avoid tobacco, alcohol and drug misuse,
- wait sufficient time between pregnancies, and
- get routine health check-ups and screenings
- have healthy babies
- reduced infant mortality rate
- reduced racial disparities in mortality rate.

Case Example

Activities: IF we (the program) provides:	Outcomes: THEN		
	Short-Term	Mid-Term	Long-Term
• Reproductive health services • Nutrition counseling and services • Pregnancy diagnosis and counseling, • Contraceptive education, counseling, and access to a broad range of contraceptive methods, • Testing and treatment for STDs and HIV/AIDs • Screening for alcohol, drug, and tobacco use and referral to cessation/treatment programs • Genetics information, education and referral • Social work and counseling services to address family psychosocial needs • Street level outreach • Marketing and promotion • Reinforcement of patient education • Assistance with social service needs	???	Women of child-bearing age: • eat a good diet, • participate in healthy physical activity, • get care for health problems (such as high blood pressure or Diabetes), • avoid tobacco, alcohol and drug misuse, • get routine health check-ups and screenings	Women of child-bearing age: • wait sufficient time between pregnancies, and • have healthy babies Reduced: • infant mortality rate • racial disparities in mortality rate.

*Where “mediators”
live in our logic
model...*



**Context
Assumptions**



Office of Workforce and Career Development (OWCD)—Mission

To improve health outcomes by developing a competent, sustainable and diverse public health workforce through evidence-based training, career and leadership development, and strategic workforce planning.

Implicit Logic Model

Activities

Conduct training

Do career leadership development

Do Strategic workforce planning

Outcomes

PH Workforce

More Competent

More Sustainable

More Diverse

Need

Improved health outcomes

Implicit Logic Model—Mediators

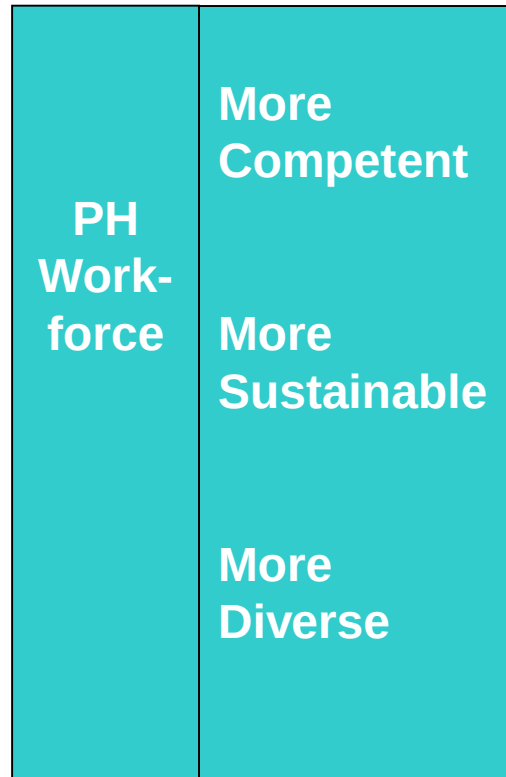
Activities

Conduct training

Do career leadership development

Do Strategic workforce planning

Outcomes



Programs are more effective

Continuity in r'ships and approach

Clients access and adhere

Need

Improved health outcomes

Case Example

Activities: IF we (the program) provides:	Outcomes: THEN		
	Short-Term	Mid-Term	Long-Term
• Reproductive health services • Nutrition counseling and services • Pregnancy diagnosis and counseling, • Contraceptive education, counseling, and access to a broad range of contraceptive methods, • Testing and treatment for STDs and HIV/AIDs • Screening for alcohol, drug, and tobacco use and referral to cessation/treatment programs • Genetics information, education and referral • Social work and counseling services to address family psychosocial needs • Street level outreach • Marketing and promotion • Reinforcement of patient education • Assistance with social service needs	MEDIATORS HERE?	Women of child-bearing age: • eat a good diet, • participate in healthy physical activity, • get care for health problems (such as high blood pressure or Diabetes), • avoid tobacco, alcohol and drug misuse, • get routine health check-ups and screenings	Women of child-bearing age: • wait sufficient time between pregnancies, and • have healthy babies Reduced: • infant mortality rate • racial disparities in mortality rate.

Case Example

Activities: IF we (the program) provides:

- Reproductive health services
- Nutrition counseling and services
- Pregnancy diagnosis and counseling,
- Contraceptive education, counseling, and access to a broad range of contraceptive methods,
- Testing and treatment for STDs and HIV/AIDs
- Screening for alcohol, drug, and tobacco use and referral to cessation/treatment programs
- Genetics information, education and referral
- Social work and counseling services to address family psychosocial needs
- Street level outreach
- Marketing and promotion
- Reinforcement of patient education
- Assistance with social service needs

Short-Term

“Right” women of child-bearing age:**

- aware of the program
- participate in program
- change/increase supportive knowledge attitudes and beliefs
- receive customized referrals and services
- fulfill/complete referrals

Mid-Term

Women of child-bearing age:

- eat a good diet,
- participate in healthy physical activity,
- get care for health problems (such as high blood pressure or Diabetes),
- avoid tobacco, alcohol and drug misuse,
- get routine health check-ups and screenings

Long-Term

Women of child-bearing age:

- wait sufficient time between pregnancies, and
- have healthy babies

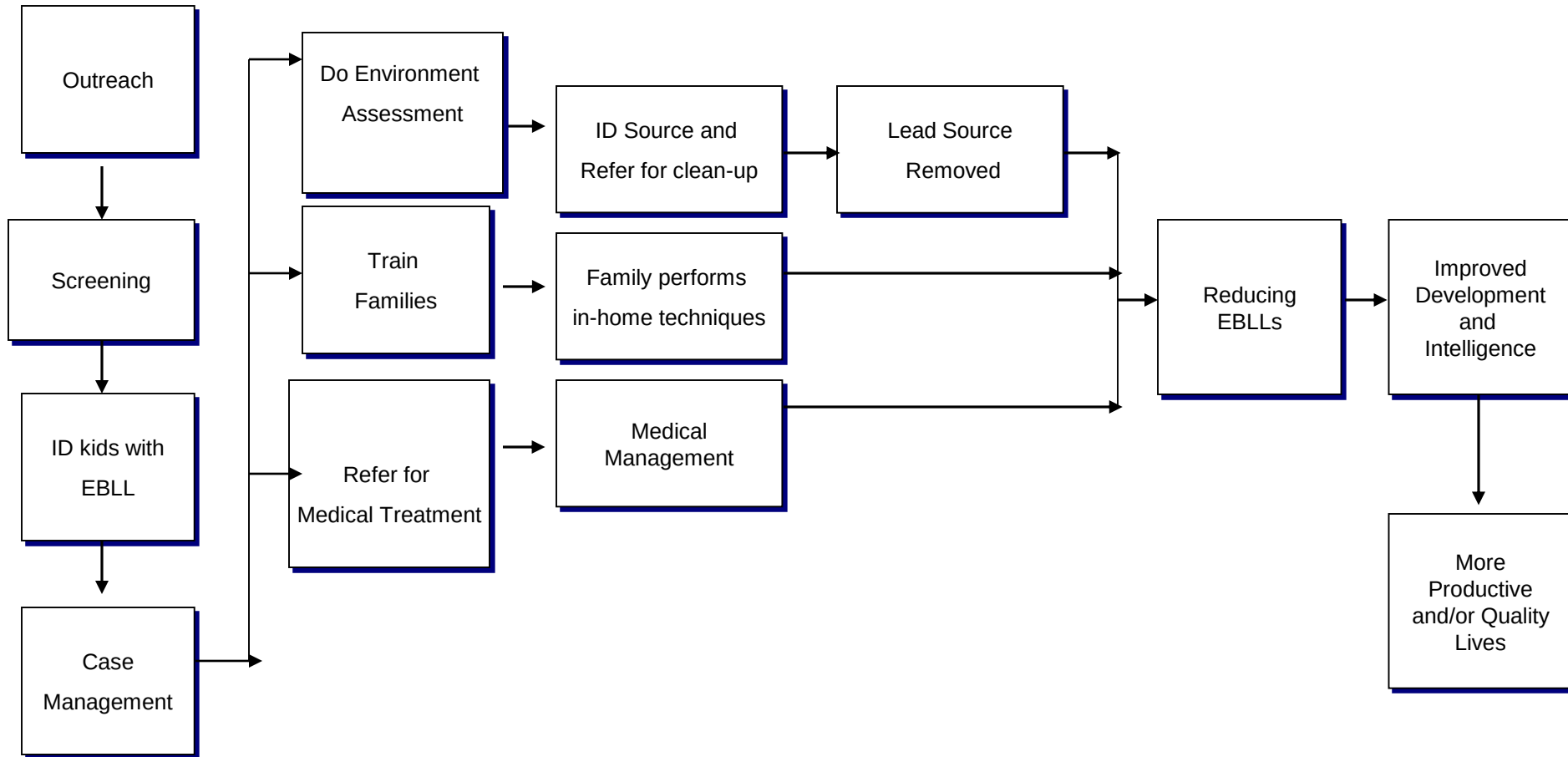
Reduced:

- infant mortality rate
- racial disparities in mortality rate.

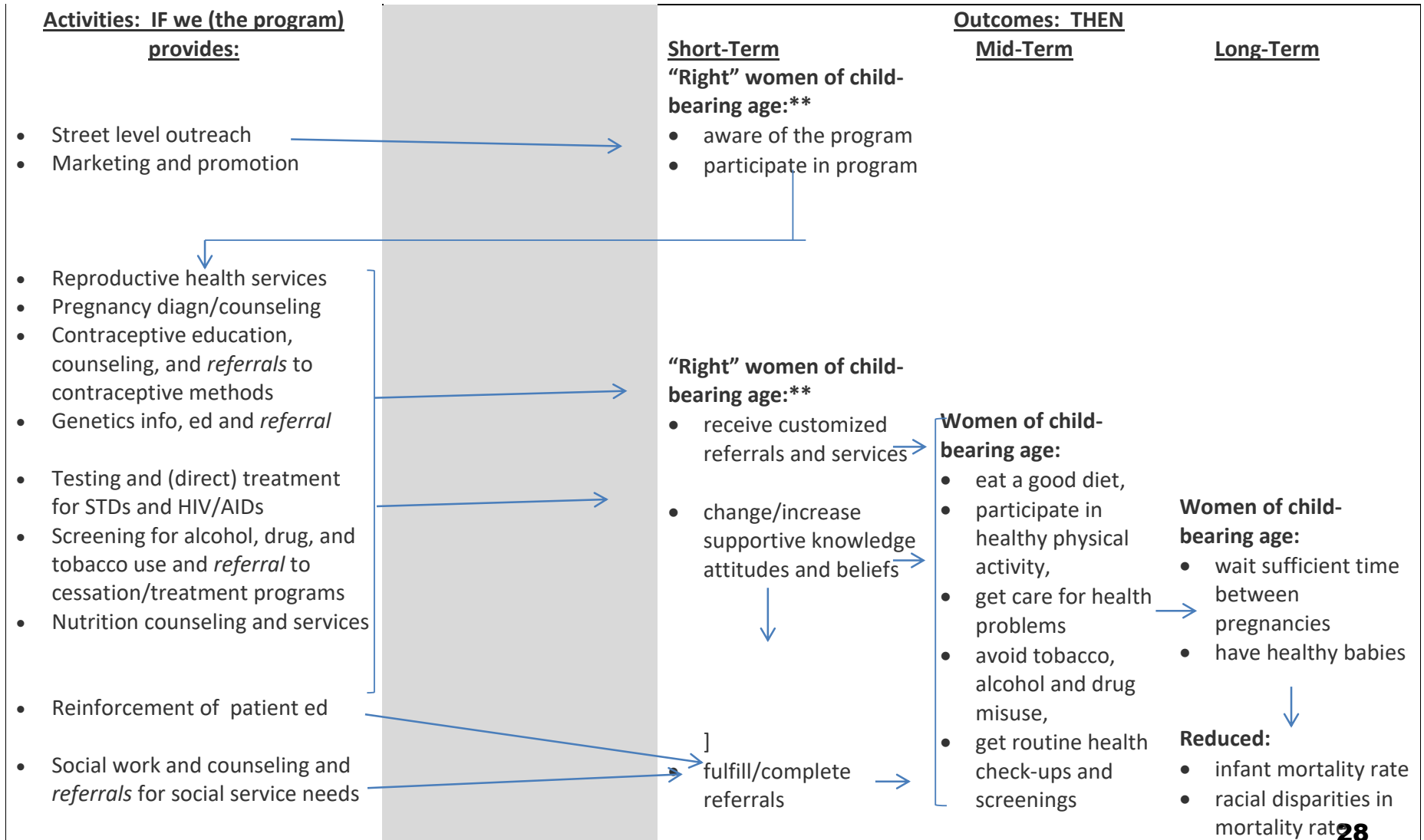
Lead Poisoning: “Causal” Roadmap

Activities

Outcomes

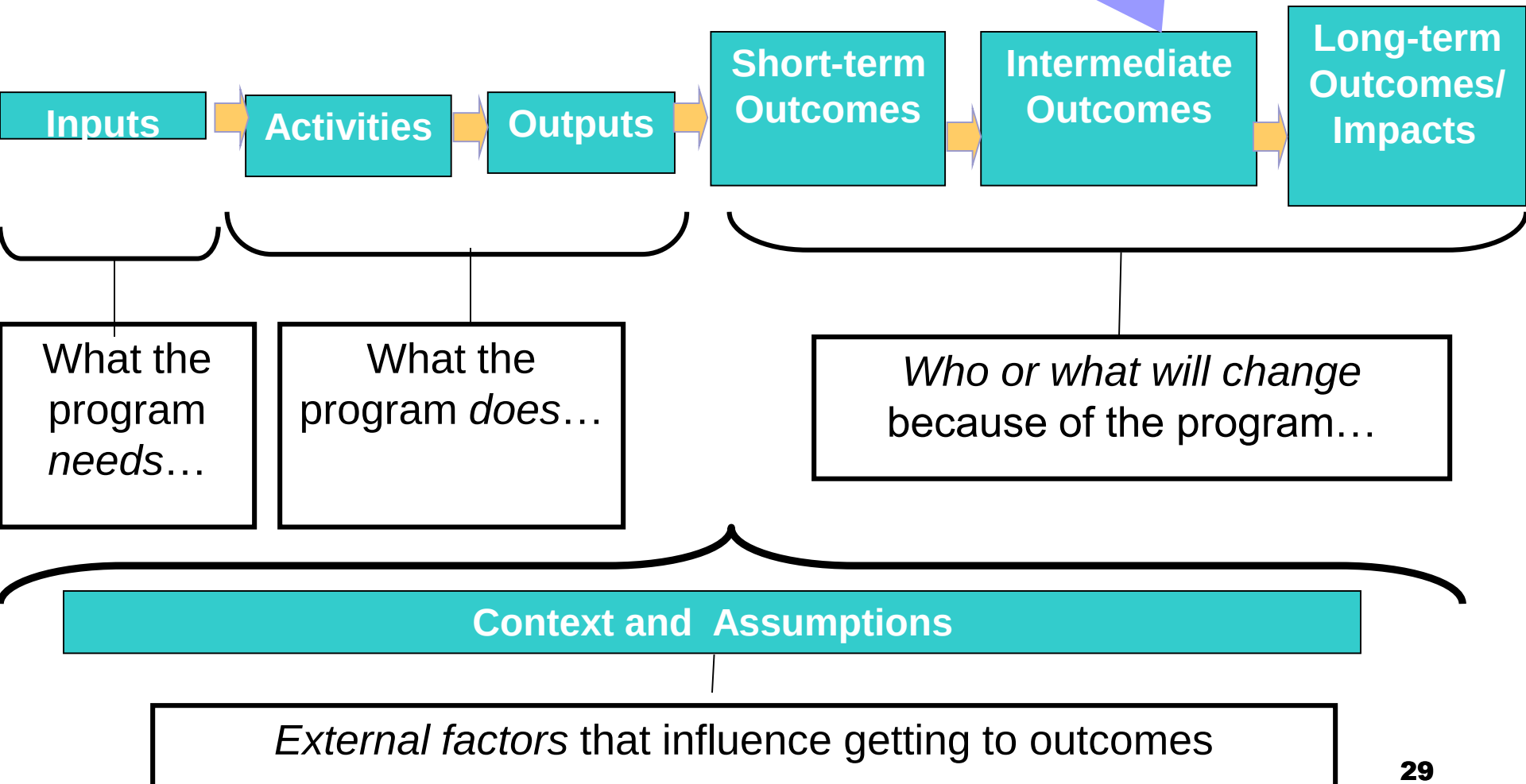


Case Example: “Causal Roadmap”



Simple Logic

3. “Accountable” outcome



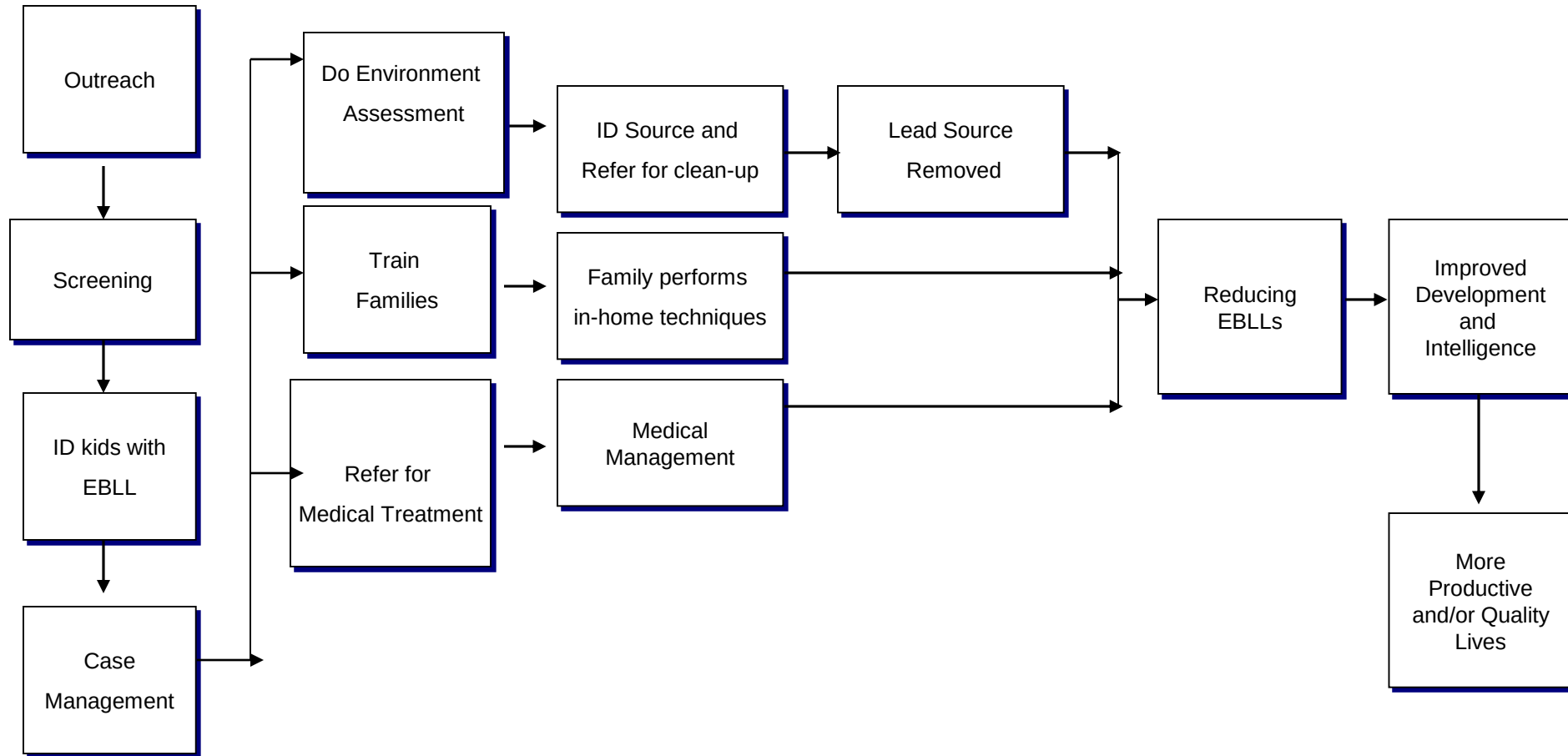
Choosing Accountable Outcome—“Staking Our Claim”

- Want to include outcome(s) that is (are):
 - ***Relevant***—sufficiently **“downstream”** to matter to stakeholders
 - ***Responsive***—sufficiently **“upstream”** that program’s efforts can be expected to make a difference

Lead Poisoning: “Causal” Roadmap

Activities

Outcomes



Case Example: “Causal Roadmap”

Activities: IF we (the program) provides:

- Street level outreach
- Marketing and promotion
- Reproductive health services
- Pregnancy diagn/counseling
- Contraceptive education, counseling, and *referrals* to contraceptive methods
- Genetics info, ed and *referral*
- Testing and (direct) treatment for STDs and HIV/AIDs
- Screening for alcohol, drug, and tobacco use and *referral* to cessation/treatment programs
- Nutrition counseling and services
- Reinforcement of patient ed
- Social work and counseling and *referrals* for social service needs

Short-Term

“Right” women of child-bearing age:**

- aware of the program
- participate in program

“Right” women of child-bearing age:**

- receive customized referrals and services
- change/increase supportive knowledge attitudes and beliefs

fulfill/complete referrals

Outcomes: THEN

Mid-Term

Women of child-bearing age:

- eat a good diet,
- participate in healthy physical activity,
- get care for health problems
- avoid tobacco, alcohol and drug misuse,
- get routine health check-ups and screenings

Long-Term

Women of child-bearing age:

- wait sufficient time between pregnancies
- have healthy babies

Reduced:

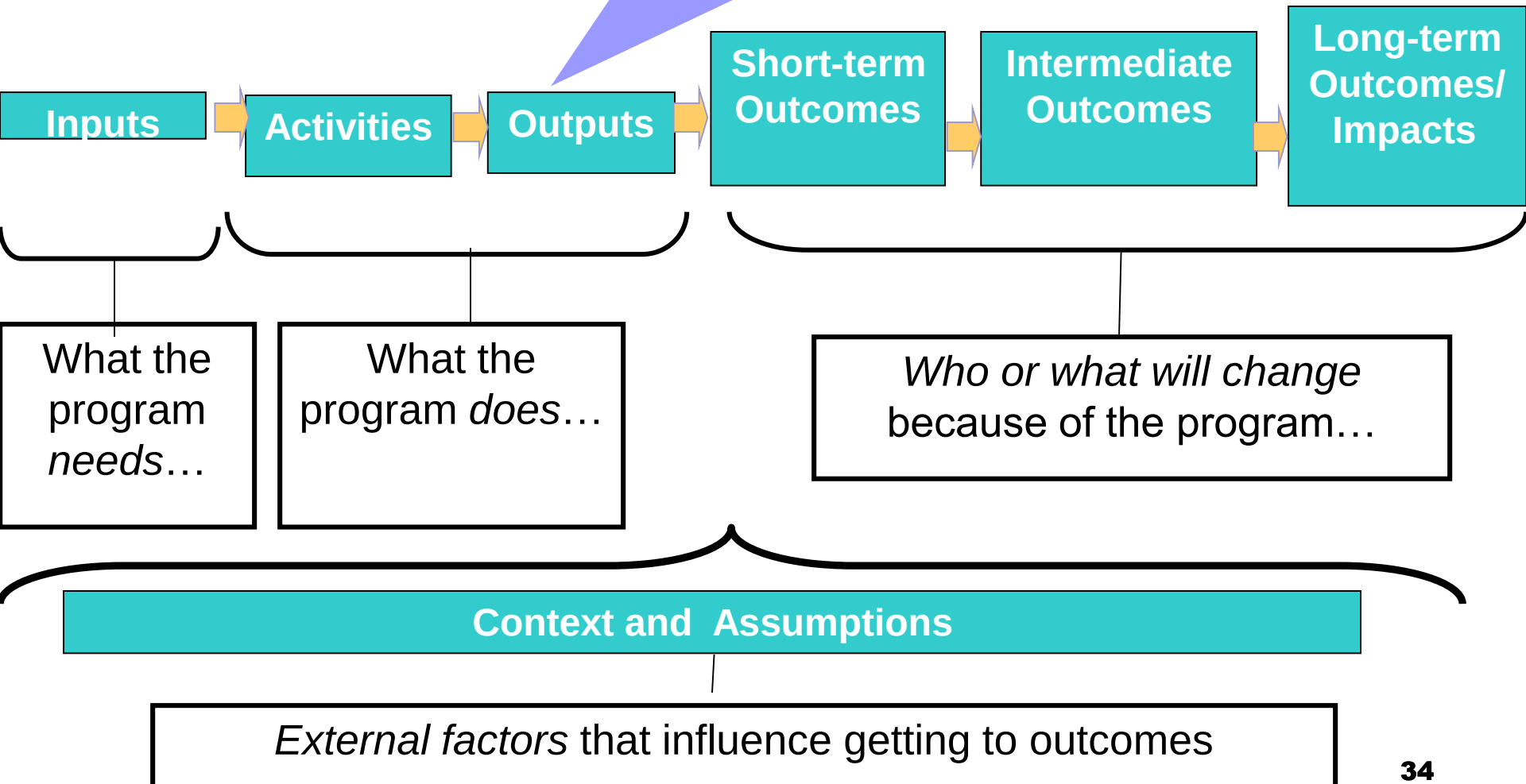
- infant mortality rate
- racial disparities in mortality rate

6 Things Every Program Needs to Know...What's Done!

1. **Big “need” to which it is contributing**
2. **Basic roadmap: “what” → “so what” → need**
3. **ST outcomes that → accountable outcome**
4. **“Accountable” outcome**
5. **“Strong” activities that → ST outcomes, AND what “strong” means [OUTPUTS]**
6. **Contextual factors that help/hobble [INPUTS/MODERATORS]**

Simple Logic

5. What “strong” activities means





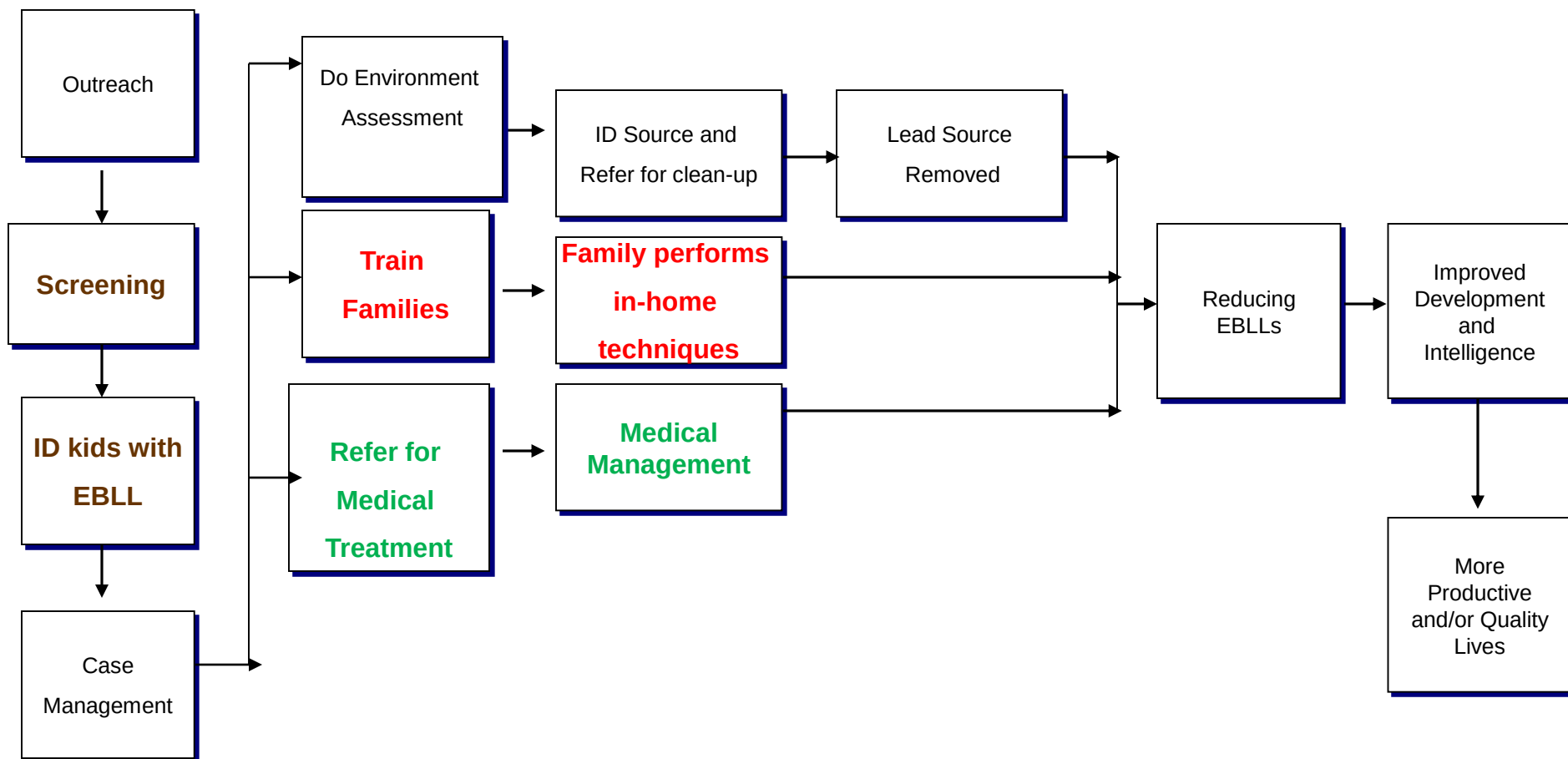
Traditional Outputs— Lead Program

- *Screening:* Pool (#) of screened kids
- *Training:* Pool (#) of clients trained
- *Referrals:* (#) referrals to medical treatment

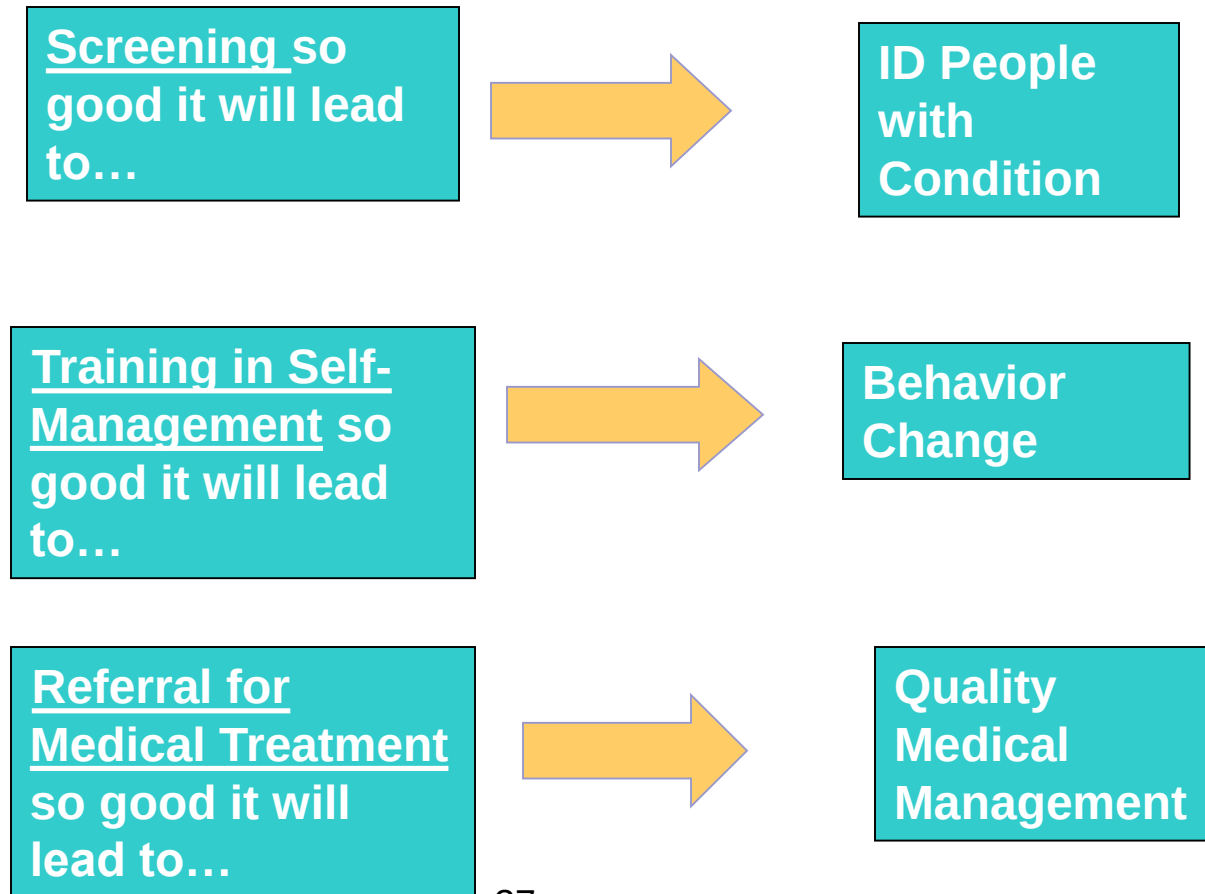
Lead Poisoning: "Causal" Roadmap

Activities

Outcomes



The Plot Thickens



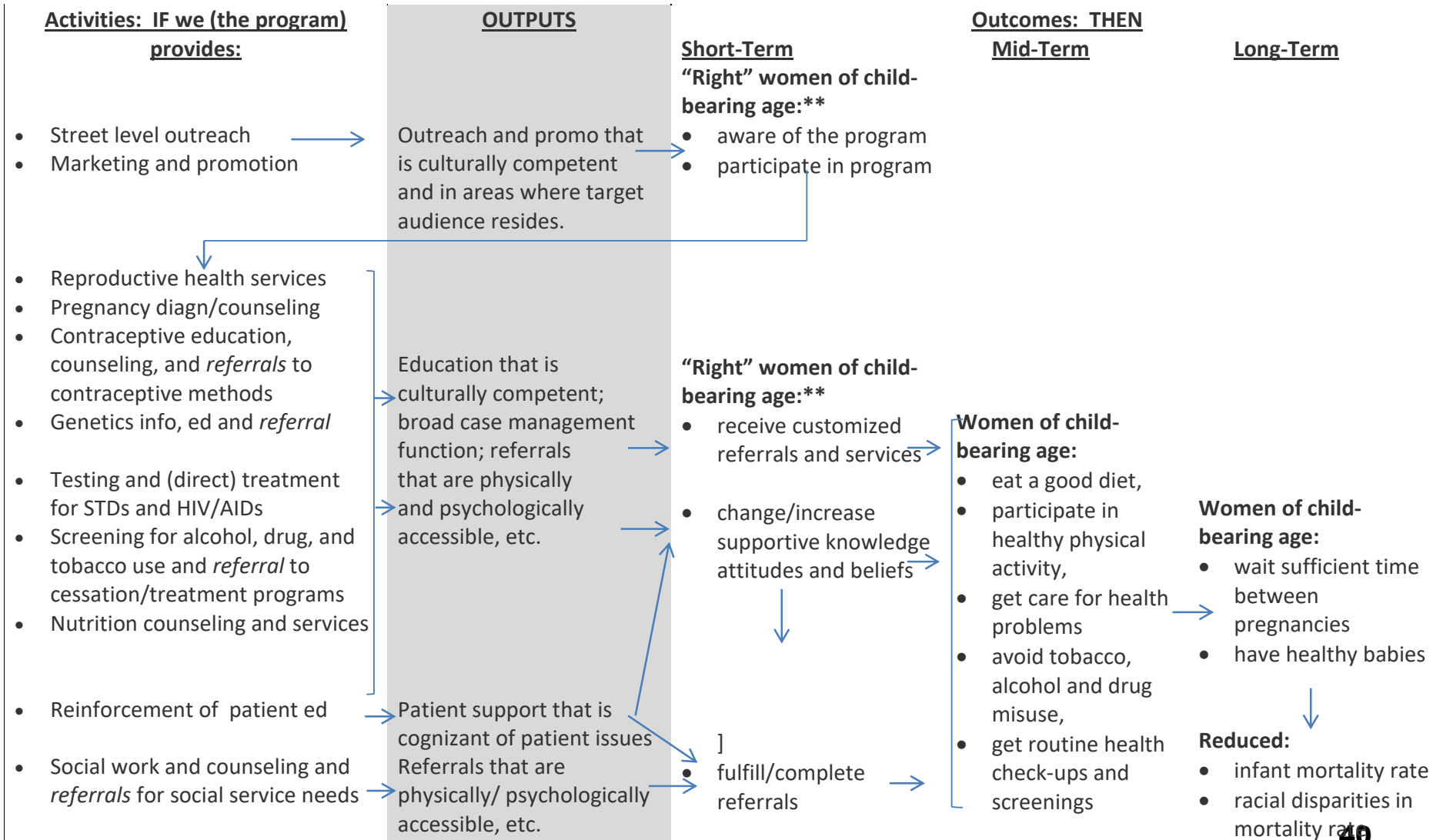


“Upgraded” Outputs: *More than Simple Counts*

- *Screening:* Pool (#) of screened kids (*meeting likely risk profile*)
- *Training:* Pool (#) of clients trained (*using culturally-competent curriculum and with appropriate supports*)
- *Referrals:* Pool(#) of referrals to (*qualified or willing*) medical treatment providers

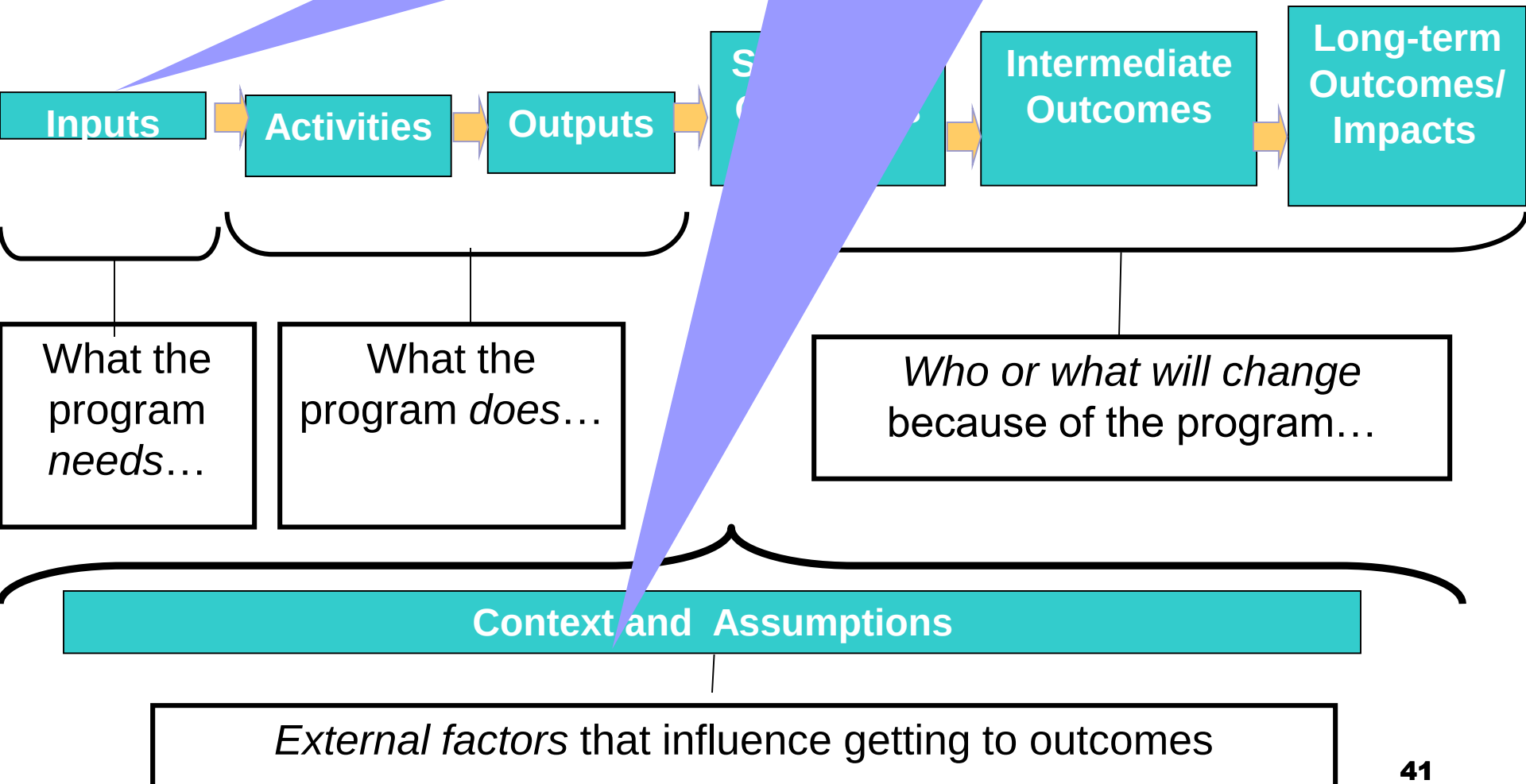


Case Example



6. Outside factors that can help or hobble

Simple L



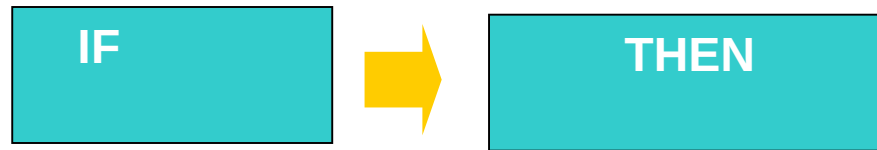


Inputs and Moderators

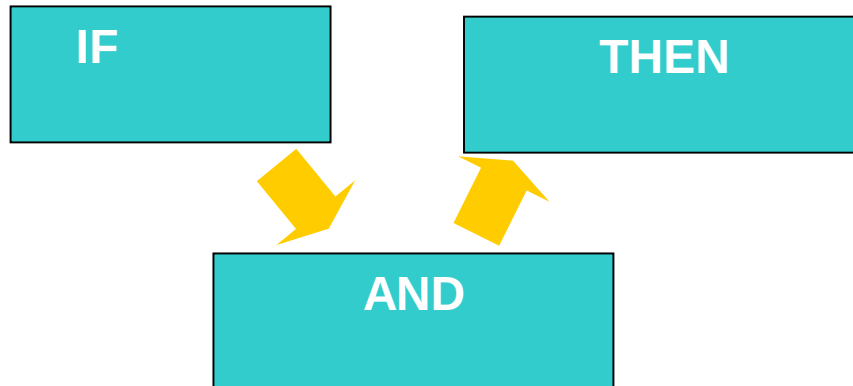
In search of “killer assumptions”

Understanding Our Program Logic— How Inputs and Moderators Help

From this...



To this...



Lead Poisoning: Sample Inputs

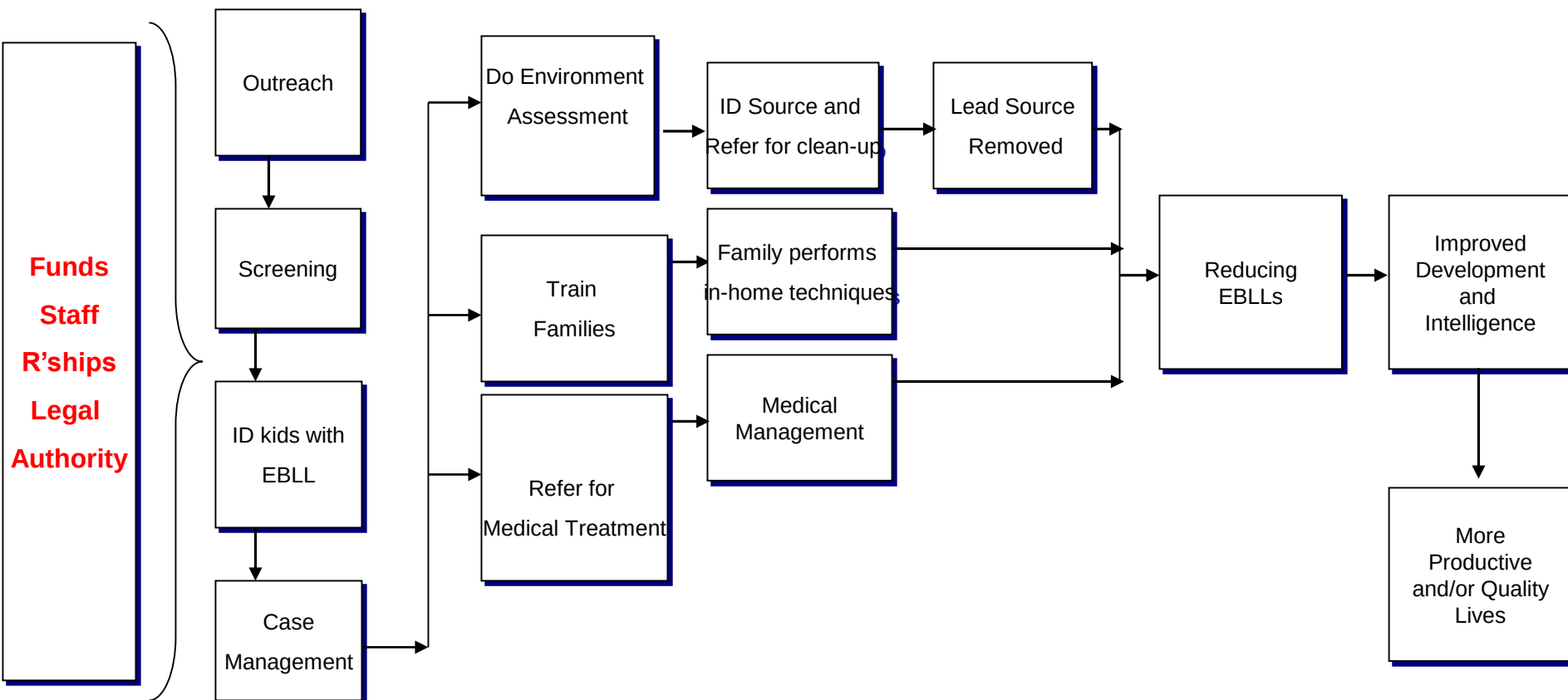
- Funds
- Trained staff
- Legal authority to screen
- *Relationships with orgs for med treatment and environmental clean-up*

Lead Poisoning: "Causal" Roadmap

Inputs

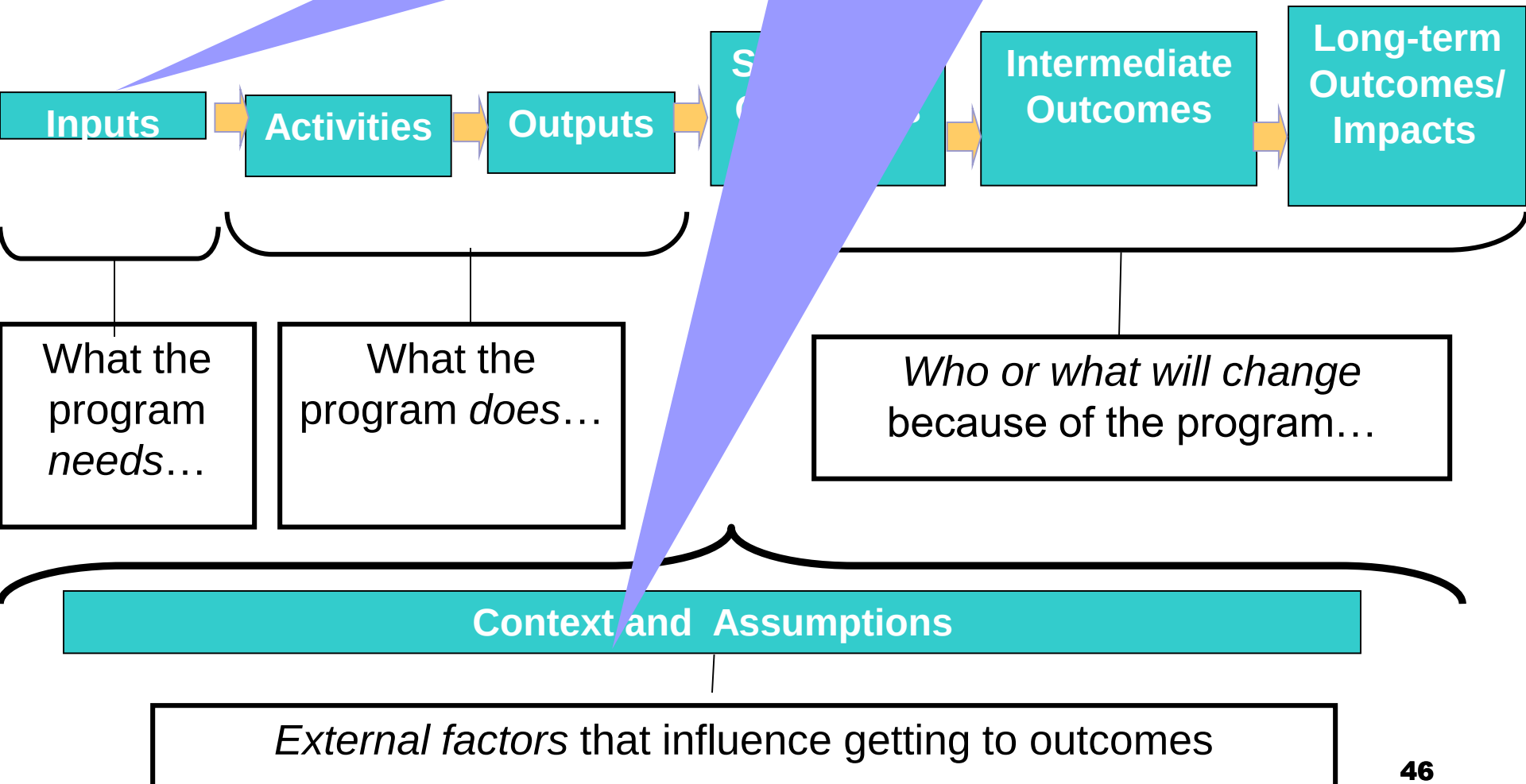
Activities

Outcomes



6. Outside factors that can help or hobble

Simple L





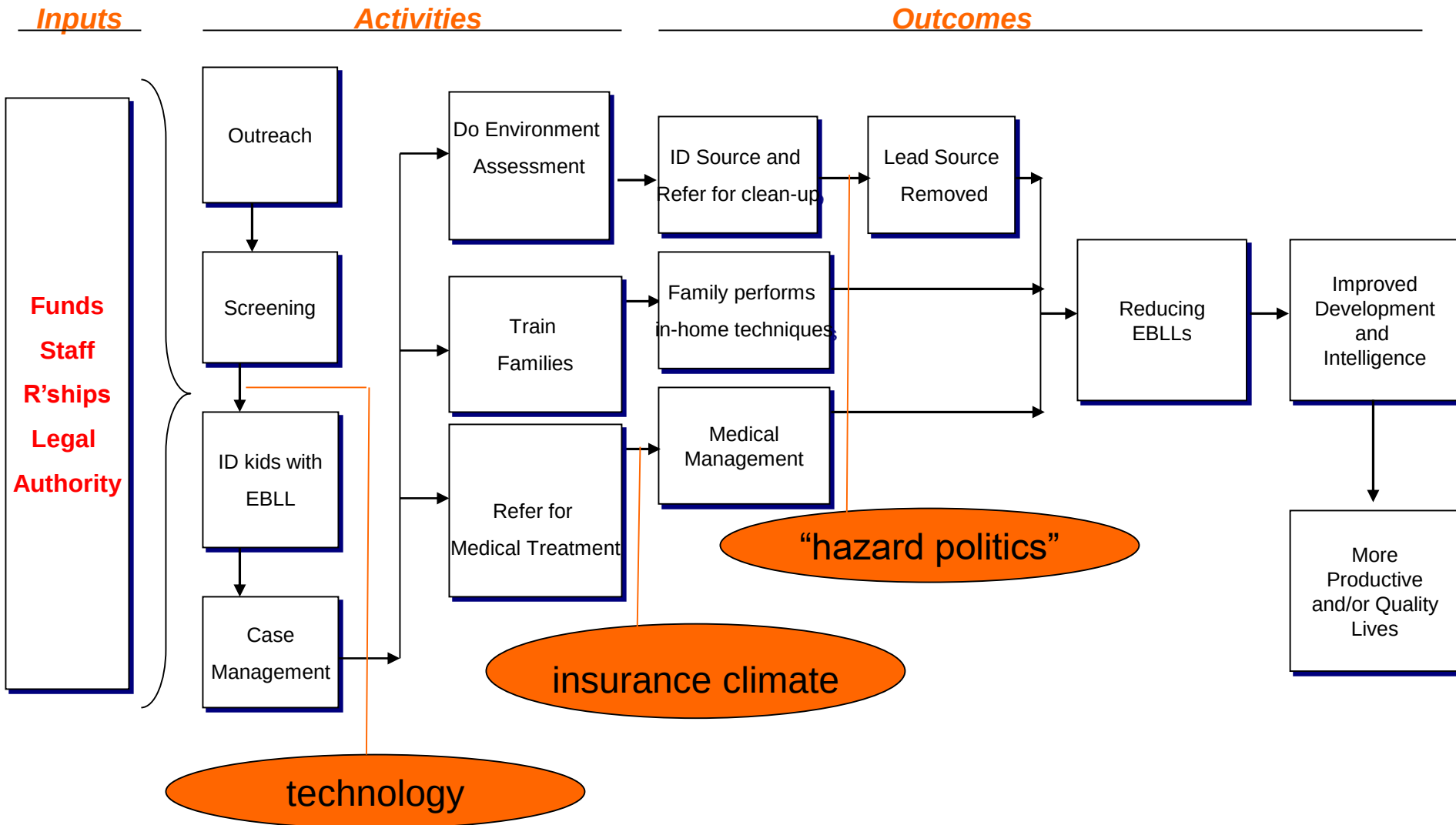
Contextual Factors

- Political
- Economic
- Social
- Technological

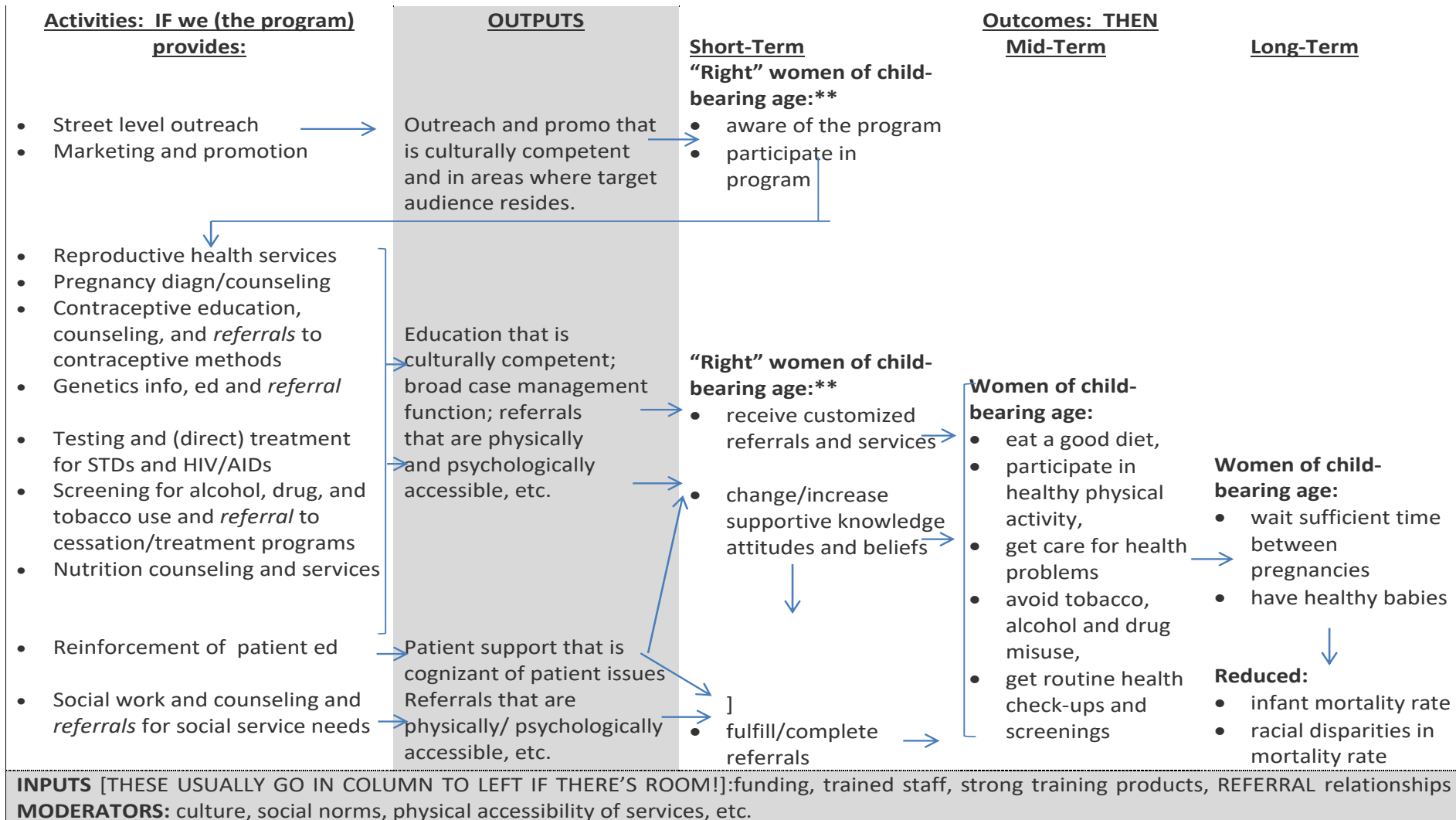
Moderators—Lead Poisoning

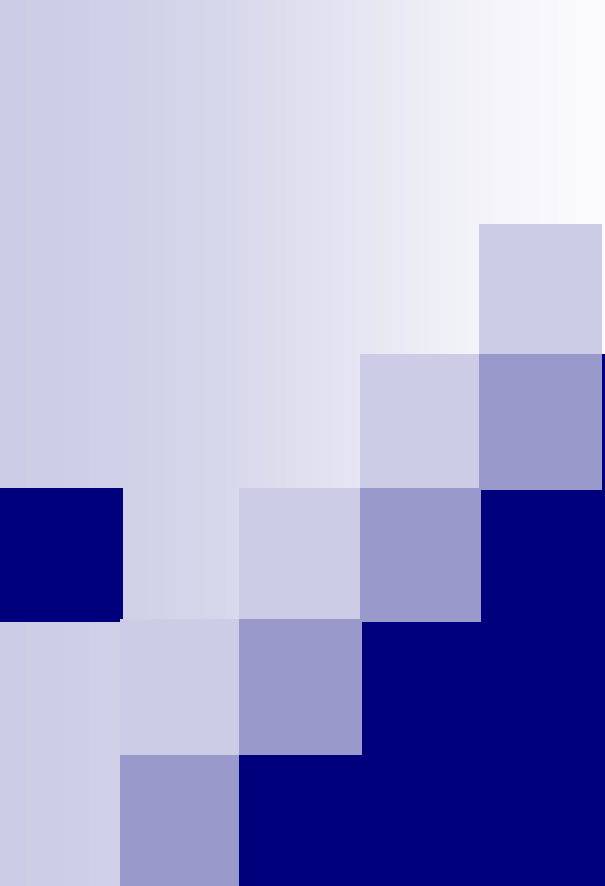
- Political—*“Hazard” politics*
- Economic—*Health insurance*
- Technological—*Availability of hand-held technology*

Lead Poisoning: "Causal" Roadmap



Case Example

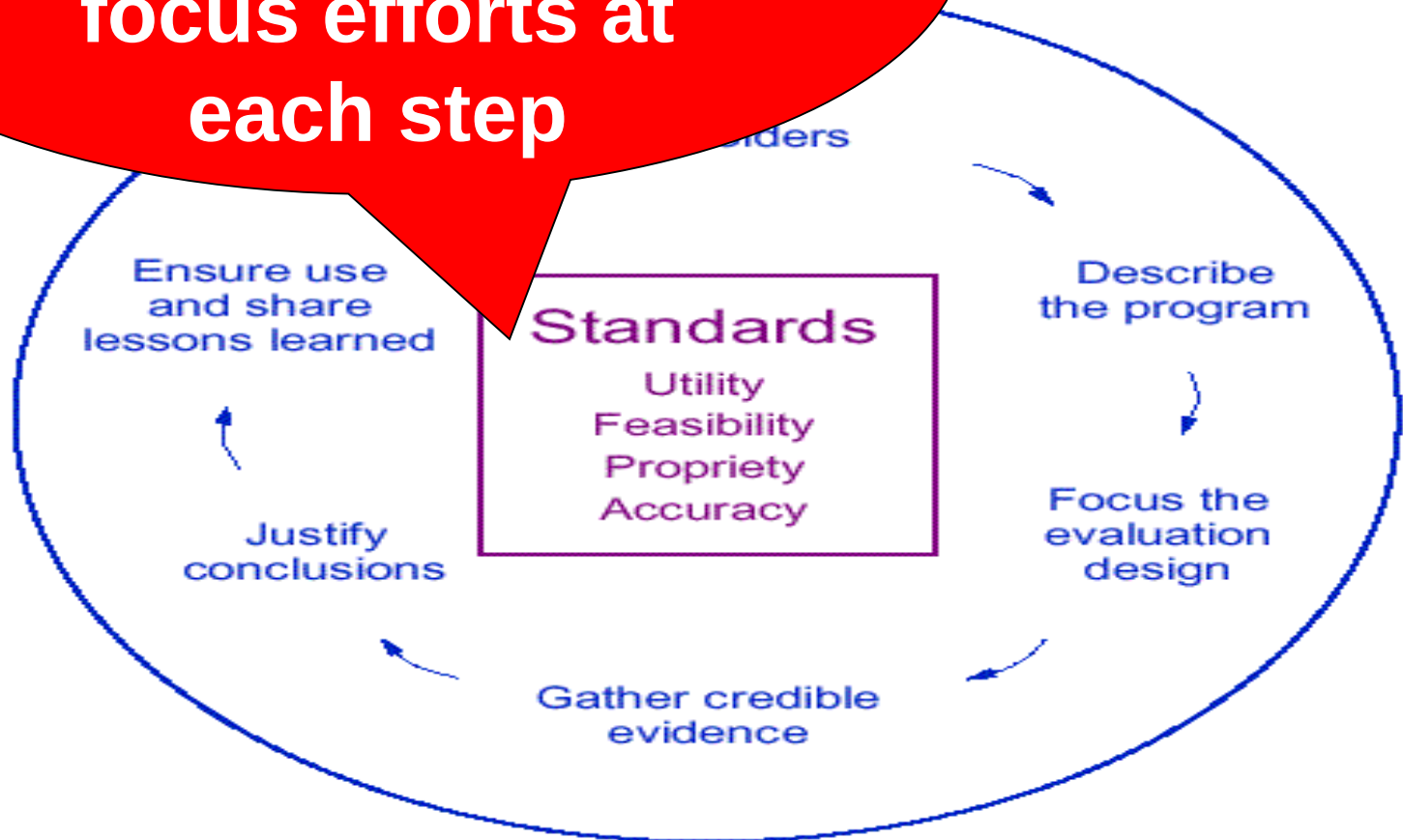




Practical Program Evaluation

Putting the Logic Model to
Use in Evaluation

**The 4 Evaluation
Standards help
focus efforts at
each step**





Setting Focus: Some Rules

Based on “utility” standard:

- **Purpose:** Toward what end is the evaluation being conducted?
- **User:** Who wants the info and what are they interested in?
- **Use:** How will they use the info?



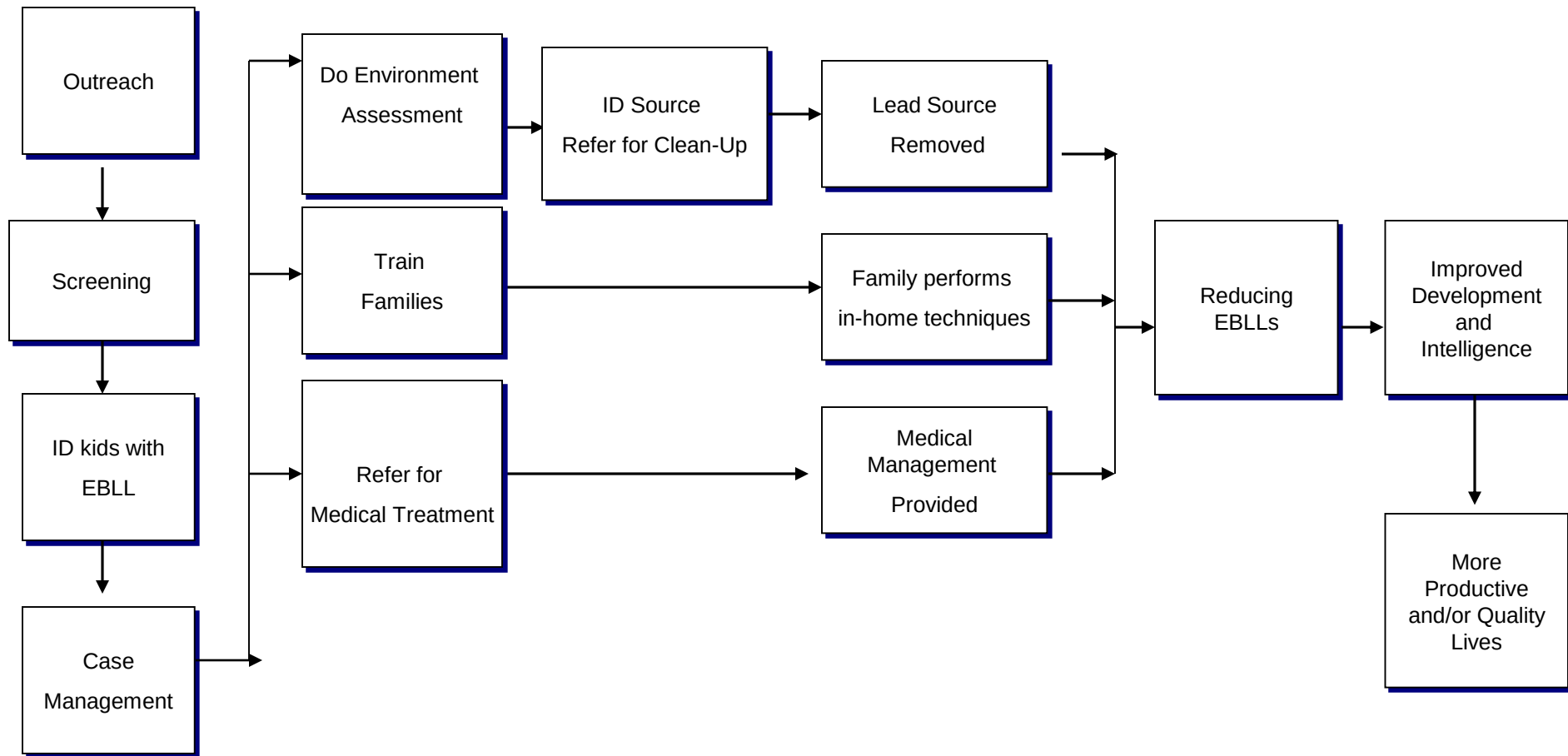
(Some) Potential Purposes

- Test program implementation
- Show accountability
- “Continuous” program improvement
- Increase the knowledge base
- Other...
- Other...

Lead Poisoning: Roadmap for an Existing Program

Activities

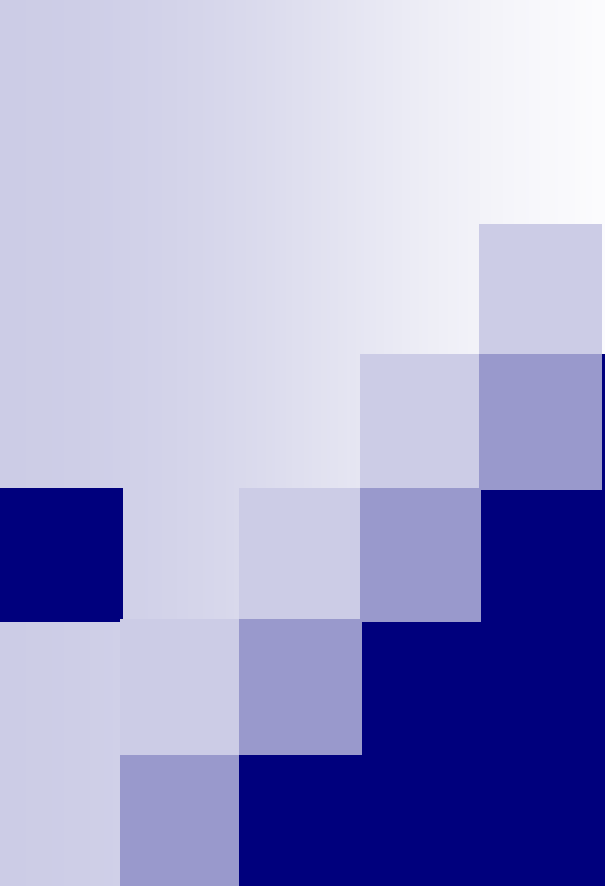
Outcomes



“Reality Checking” the Focus

Based on “feasibility” standard:

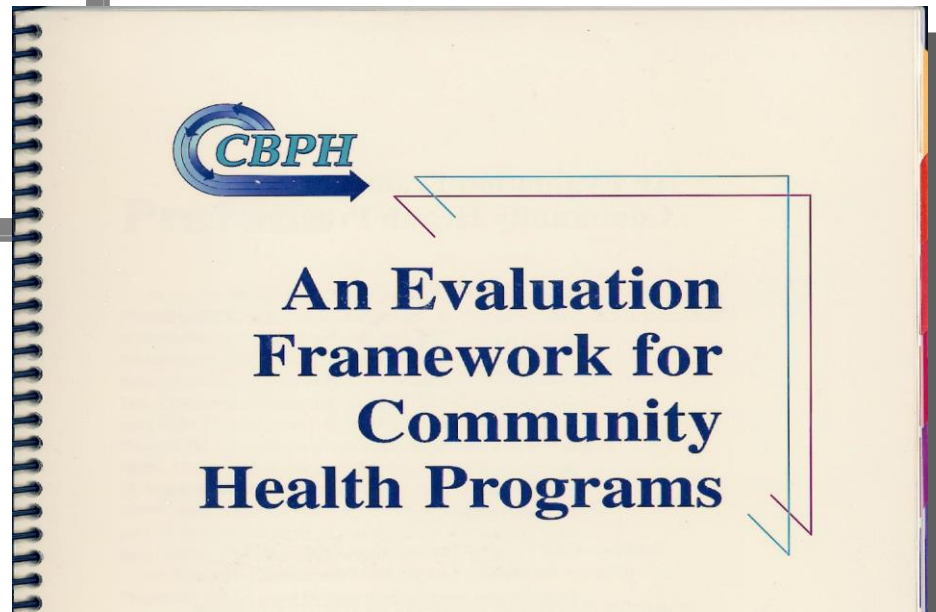
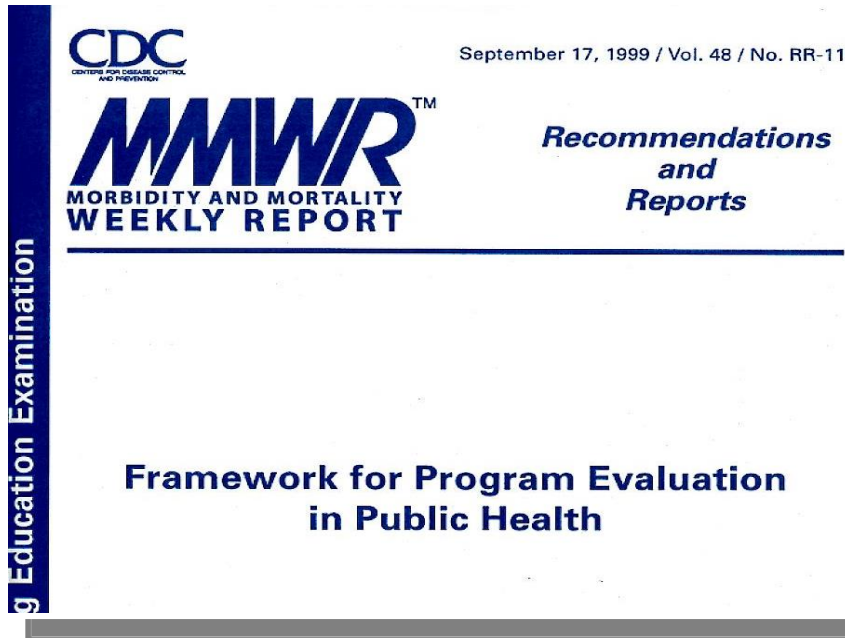
- **Stage of Development:** How long has the program been in existence?
- **Program Intensity:** How intense is the program? How much impact is reasonable to expect?
- **Resources:** How much time, money, expertise are available?



Practical Program Evaluation

Life Post-Session

Helpful Publications @ www.cdc.gov/eval

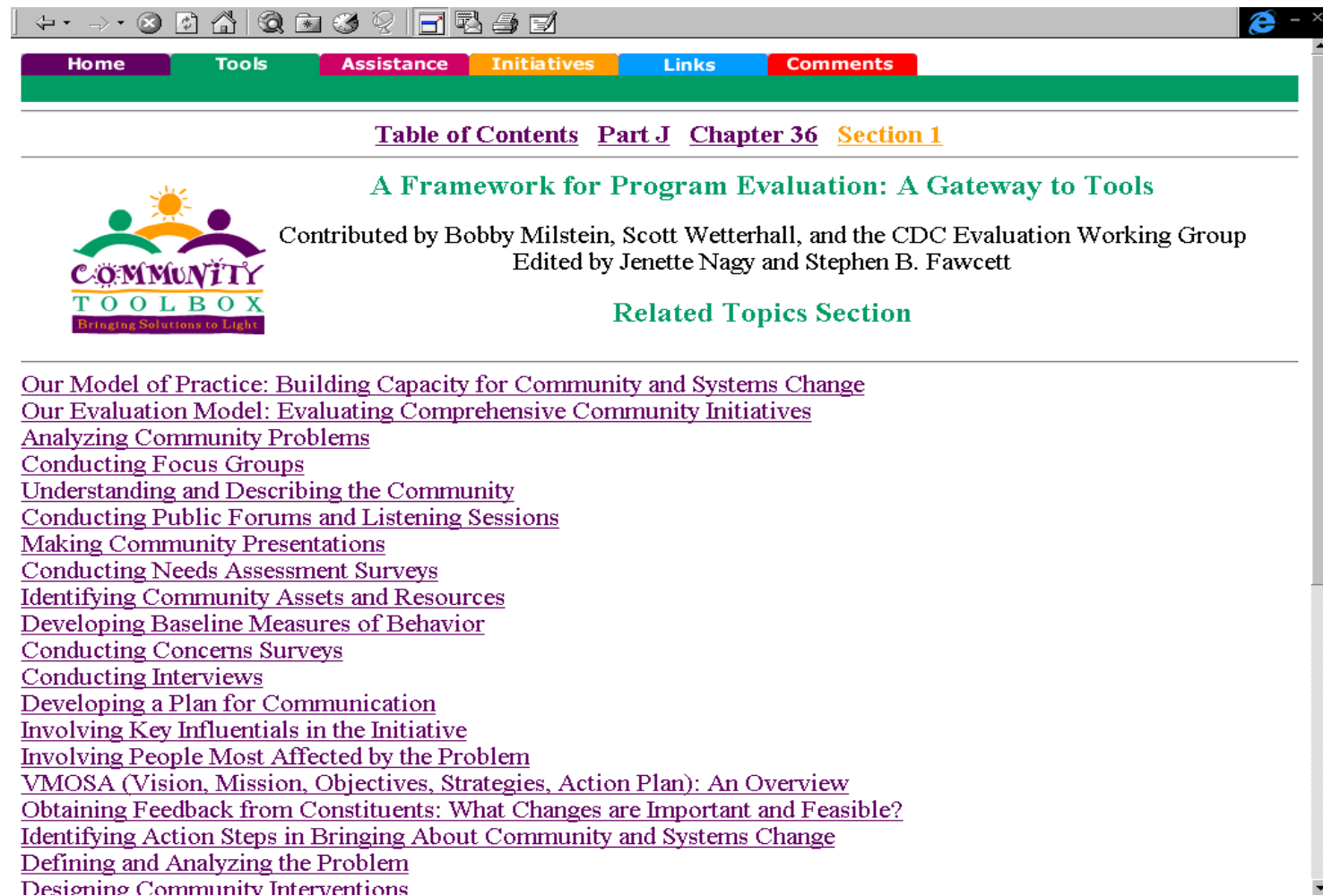


Helpful Resources

- NEW! Intro to Program Evaluation for PH Programs—A Self-Study Guide:
<http://www.cdc.gov/eval/whatsnew.htm>
- Logic Model Sites
 - Innovation Network:
<http://www.innonet.org/>
 - W.K. Kellogg Foundation Evaluation Resources:
<http://www.wkkf.org/programming/overview.aspx?CID=281>
 - University of Wisconsin-Extension:
<http://www.uwex.edu/ces/lmcourse/>
- Texts
 - Rogers et al. Program Theory in Evaluation. New Directions Series: Jossey-Bass, Fall 2000
 - Chen, H. Theory-Driven Evaluations. Sage. 1990

Community Tool Box

<http://ctb.ku.edu>



The screenshot shows a web browser window displaying the Community Tool Box website. The browser's address bar shows the URL <http://ctb.ku.edu>. The website has a navigation bar with tabs: Home, Tools, Assistance, Initiatives, Links, and Comments. Below the navigation bar, there is a section titled "Table of Contents" with links to "Part J", "Chapter 36", and "Section 1". The main content area features a logo for the "COMMUNITY TOOL BOX" with the tagline "Bringing Solutions to Light". To the right of the logo, it states "Contributed by Bobby Milstein, Scott Wetterhall, and the CDC Evaluation Working Group" and "Edited by Jenette Nagy and Stephen B. Fawcett". Below this, there is a section titled "Related Topics Section" which lists various topics underlined as links, including "Our Model of Practice: Building Capacity for Community and Systems Change", "Our Evaluation Model: Evaluating Comprehensive Community Initiatives", "Analyzing Community Problems", "Conducting Focus Groups", "Understanding and Describing the Community", "Conducting Public Forums and Listening Sessions", "Making Community Presentations", "Conducting Needs Assessment Surveys", "Identifying Community Assets and Resources", "Developing Baseline Measures of Behavior", "Conducting Concerns Surveys", "Conducting Interviews", "Developing a Plan for Communication", "Involving Key Influentials in the Initiative", "Involving People Most Affected by the Problem", "VMOSA (Vision, Mission, Objectives, Strategies, Action Plan): An Overview", "Obtaining Feedback from Constituents: What Changes are Important and Feasible?", "Identifying Action Steps in Bringing About Community and Systems Change", "Defining and Analyzing the Problem", and "Designing Community Interventions".

Home Tools Assistance Initiatives Links Comments

[Table of Contents](#) [Part J](#) [Chapter 36](#) [Section 1](#)

 **COMMUNITY TOOL BOX**
Bringing Solutions to Light

Contributed by Bobby Milstein, Scott Wetterhall, and the CDC Evaluation Working Group
Edited by Jenette Nagy and Stephen B. Fawcett

Related Topics Section

[Our Model of Practice: Building Capacity for Community and Systems Change](#)
[Our Evaluation Model: Evaluating Comprehensive Community Initiatives](#)
[Analyzing Community Problems](#)
[Conducting Focus Groups](#)
[Understanding and Describing the Community](#)
[Conducting Public Forums and Listening Sessions](#)
[Making Community Presentations](#)
[Conducting Needs Assessment Surveys](#)
[Identifying Community Assets and Resources](#)
[Developing Baseline Measures of Behavior](#)
[Conducting Concerns Surveys](#)
[Conducting Interviews](#)
[Developing a Plan for Communication](#)
[Involving Key Influentials in the Initiative](#)
[Involving People Most Affected by the Problem](#)
[VMOSA \(Vision, Mission, Objectives, Strategies, Action Plan\): An Overview](#)
[Obtaining Feedback from Constituents: What Changes are Important and Feasible?](#)
[Identifying Action Steps in Bringing About Community and Systems Change](#)
[Defining and Analyzing the Problem](#)
[Designing Community Interventions](#)