

1 **Is this the carbapenemase test we've been waiting for? A multi-center**
2 **evaluation of the Modified Carbapenem Inactivation Method (mCIM).**

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24 **Abstract**

25 A plethora of phenotypic methods exist for the detection of carbapenemases,
26 however, clinical laboratories have struggled for years with accurate, objective
27 phenotypic detection of carbapenemase activity in *Enterobacteriaceae*. In this
28 issue of the *Journal of Clinical Microbiology*, V. M. Pierce et al. (J Clin Microbiol
29 55:XXX-XXX, 2017, <https://doi.org/10.1128/JCM.00193-17>) report on a
30 multicenter evaluation of the modified carbapenem inactivation method (mCIM).
31 The high sensitivity, specificity, reproducibility and ease of interpretation
32 associated with mCIM for *Enterobacteriaceae* will likely lead to its adoption by
33 clinical laboratories.

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35 **Text**

36 Carbapenems are considered the treatment of choice for serious infections
37 caused by multi-drug resistant *Enterobacteriaceae*. However, the emergence and
38 successful global dissemination of carbapenemase-producing
39 *Enterobacteriaceae* presents a clinical challenge and is a growing public health
40 emergency (1). The prevailing perception that these organisms are the next
41 “nightmare bacteria” conjures images of the pre-antibiotic era where even the
42 most common of infections could prove fatal. No longer are these strains
43 confined to the hospital environment, but they are now found in the community,
44 environment, livestock, companion animals, and wildlife (2, 3). Rapid, accurate
45 detection of the infecting culprit is a central tenet to tackling the spread of any
46 infectious disease and this is also true for carbapenem-resistant

47 *Enterobacteriaceae* (CRE) where the mortality rate for serious infections exceeds
48 40% in patients with severe underlying conditions (4).

49 The CRE story is rife with controversy and challenges. Notably,
50 establishment of a common global definition has been problematic. The CDC
51 definition of carbapenem-resistant *Enterobacteriaceae* (CRE) has evolved to
52 encompass isolates that test resistant to any carbapenem tested, as well as any
53 isolate found to harbor a carbapenemase either by detection of the gene or
54 phenotypic detection of carbapenemase activity. This definition shows improved
55 sensitivity compared with the previously used definition which misclassified 21%
56 of KPC-producing *K. pneumoniae* as non-CRE (5). Importantly, this definition is
57 not specific to carbapenemase-production and carbapenem resistance in
58 *Enterobacteriaceae* encompasses organisms that produce a carbapenemase
59 (so-called carbapenemase-producing or CP-CRE) as well as those that do not
60 (i.e. non-CP-CRE). Non-CP-mediated resistance most commonly occurs due to
61 the expression of an extended-spectrum or an AmpC beta-lactamase combined
62 with a permeability defect such as porin loss. It is believed that the non-CP-CRE
63 may be associated with a fitness cost to the organism and consequently may not
64 disseminate as effectively as their CP-CRE brethren, although outbreaks of non-
65 CP-CRE do occur (6). Because carbapenemase genes are harbored on mobile
66 DNA elements and can be easily spread, the Clinical Laboratory Standards
67 Institute (CLSI) and the European Committee on Antimicrobial Susceptibility
68 Testing (EUCAST) recommend that laboratories continue to look for

69 carbapenemases for epidemiology and infection prevention purposes but that
70 detection is not necessarily pertinent to therapeutic decision-making.

71 The concept that minimum inhibitory concentration (MIC) rather than the
72 presence of carbapenemase should be used to guide clinical treatment decisions
73 has been controversial since initially proposed by CLSI and EUCAST in 2010 (7).
74 Revised lower breakpoints enable clinical laboratories to better detect
75 carbapenemase-producing organisms as part of routine susceptibility testing
76 without having to rely on confirmatory phenotypic detection of carbapenemase
77 activity. The revision in 2010 was supported by outcome data, albeit relatively
78 limited, that MIC correlates better with patient outcome rather than the presence
79 of a carbapenemase per se (8). Additionally,
80 pharmacokinetic/pharmacodynamics studies suggest that carbapenems can
81 successfully be used to treat CRE with MICs up to 4µg/mL, or even as high as
82 8µg/mL, using optimized dosing strategies such as high-dose, prolonged
83 infusion, and combination regimens (9). Consequently, it is no longer
84 recommended to perform testing for carbapenemases for susceptibility testing
85 purposes nor is it recommended to modify the interpretation of carbapenem
86 susceptibility testing if a carbapenemase is detected.

87 At present, there remains a dearth of outcome data either supporting or
88 disputing the current susceptibility reporting guidelines. Furthermore, in the
89 absence of published prospective randomized controlled trials, recommended
90 therapy for the treatment of serious infections caused by carbapenemase-
91 producing *Enterobacteriaceae* is based primarily on observational studies. Data

92 are available to support the use of combination therapy for the treatment of
93 serious infections (10, 11); however, these benefits may primarily be limited to
94 those patients with severe underlying conditions (12). Collectively, inclusion of a
95 carbapenem in the treatment of carbapenemase-producing *Enterobacteriaceae*
96 appears to be associated with lower mortality rates compared to carbapenem-
97 sparing treatment regimens, but this has not borne out in all observational
98 studies (13). Without unequivocal outcome data supporting the 2010 CLSI and
99 EUCAST guidelines, physicians may be reluctant to treat CP-CRE with a
100 susceptible carbapenem.

101 Although the most commonly encountered carbapenemases in the United
102 States are variants of the *Klebsiella pneumoniae* carbapenemase (KPC), the
103 epidemiology of carbapenemase-producing *Enterobacteriaceae* varies
104 geographically (14) and outbreaks due to other carbapenemases have also
105 occurred in this country e.g. duodenoscope-related outbreaks of infection caused
106 by New Dehli metallo-beta-lactamase (NDM)-producing *E. coli* (15) and
107 oxacillinase (OXA)-232-producing *K. pneumoniae* (16). The availability of an
108 accurate, cheap and easy-to-perform method capable of detecting all major
109 carbapenemase families for routine use in clinical microbiology laboratories has
110 been elusive. The modified Hodge test demonstrates inferior sensitivity for the
111 detection of NDM, lacks appropriate specificity, is highly subjective, and will be
112 removed from the CLSI M100S Edition Standard beginning next year. The
113 Carba-NP assay (CLSI M100S), which colorimetrically detects the pH change
114 that occurs upon hydrolysis of imipenem, shows high sensitivity for many

115 carbapenemases though the detection of OXA-48 carbapenemases is
116 problematic. Even though the Carba-NP assay produces results in less than two
117 hours, interpretation of the assay is subjective and daily reagent preparation is
118 time-consuming, although commercially available tests employing this method
119 are available. While there are FDA-cleared assays available for the molecular
120 detection of major carbapenemase families, use of such assays may be costly for
121 laboratories in areas of high CP-CRE prevalence. Other methods have also been
122 used to detect carbapenemase production (e.g. MALDI-TOF, inhibitor tests) and
123 these methods also have their own limitations. The interested reader is referred
124 to the following excellent recent reviews for a more detailed description of
125 carbapenemase detection methods (17, 18).

126 In this issue of the *Journal of Clinical Microbiology*, Pierce and colleagues
127 describe a multi-center evaluation of a modified carbapenem inactivation method
128 assay (mCIM) (19). The original carbapenem inactivation method (CIM), first
129 published by van der Zwaluw and colleagues, is based on a simple principle
130 using reagents readily available to clinical microbiology laboratories (20).
131 Namely, that the contents of a 10µg meropenem disk are degraded through
132 enzymatic activity when the disk is incubated in a bacterial suspension of
133 carbapenem-producing organism. Meropenem degradation is assessed by
134 subsequently incubating the disk on a lawn of a sensitive *E. coli* indicator strain.
135 In the original description of the assay, the authors reported 100% correlation
136 with carbapenemase gene PCR. However, subsequent studies show reduced

137 sensitivity of the CIM assay for the detection of both OXA-48 and NDM
138 carbapenemases (21, 22).

139 To improve sensitivity for the detection of carbapenemases that be
140 expressed at low levels or that naturally have weaker hydrolytic activities, the
141 mCIM assay uses less organism (1 μ L vs. 10 μ L loopful), a longer incubation
142 period of the disk-bacterial suspension (4 vs. 2 hours), and a different
143 suspension matrix (tryptic soy broth vs. water) than the original CIM assay.
144 Importantly, Pierce et al ascribe and validate diffusion cut-offs that include an
145 “indeterminate” interpretive criteria. This is the first multi-center evaluation of the
146 mCIM method and the only publication to evaluate the reproducibility of the
147 assay. The study was performed across nine distinct centers in the United States
148 using isolates that had been fully characterized by whole genome sequencing or
149 PCR. This approach enabled the authors to assess performance of the assay for
150 a broad balance of different carbapenemase families while avoiding potential
151 performance bias from use of prospective clinical strains that may be clonal.

152 Pierce and colleagues found the mCIM assay to have excellent sensitivity
153 and specificity: 23 of 27 known carbapenemase-producing *Enterobacteriaceae*
154 isolates were mCIM assay-positive in all nine sites with the three additional
155 isolates positive in 8 of the 9 laboratories. Similar results were observed for the
156 34 isolates known to be negative for carbapenemases. Overall, sensitivity from
157 the multi-center evaluation was 93-100% with a mean sensitivity with a mean
158 specificity of 99% (range: 97-100%). Importantly, the performance of the mCIM
159 and CIM assays were directly compared at one of the test sites. Using 115 fully-

160 characterized *Enterobacteriaceae* isolates, the mCIM assay demonstrated
161 improved sensitivity for the detection of carbapenemase-producing
162 *Enterobacteriaceae* compared to the original CIM assay (94% vs. 82%) while the
163 specificity for both assays was 100%. Importantly, the results of this multi-center
164 evaluation have led to incorporation of the mCIM method into 27th edition of the
165 CLSI M100S document.

166 As mentioned previously, CLSI and EUCAST no longer recommend that
167 clinical laboratories test for carbapenemase for treatment purposes. However,
168 one recently published study suggests that this approach may need rethinking. In
169 a recent study by Tamma et al looking at 83 unique episodes of monomicrobial
170 bacteremia due to CRE, the authors observed a more than 4 times greater odds
171 of dying within 14 days when adjusted for severity of illness of the first day of
172 bacteremia (23). Alarming, differences in mortality between CP and non-CP-
173 CRE were still observed when the authors limited their analysis to strains with
174 meropenem MIC's $\leq 4\mu\text{g/mL}$. Prior to this publication, no studies had adequately
175 assessed whether there are observable differences in clinical outcomes between
176 CP and non-CP CRE. It is important to note that 92% of the carbapenemase-
177 producing organisms in this study harbored a KPC and thus whether these
178 findings extend to other carbapenemase families remains to be seen.

179 Detection of carbapenemase activity is undeniably important for
180 epidemiological and infection control purposes and many would argue that
181 mechanistic information is beneficial when making treatment decisions. These
182 data therefore highlight the need for laboratories to have ready access to a

183 reliable method for carbapenemase detection. As described by Pierce et al, the
184 mCIM assay appears to fit that bill for *Enterobacteriaceae*. Nevertheless,
185 preliminary reports to assess performance using non- *Enterobacteriaceae*
186 isolates have revealed the current version of the mCIM assay may not be the
187 one-size fits all carbapenemase test that laboratories have been longing for.
188 Compared to other available methods, the mCIM appears to offer clinical
189 laboratories an affordable assay using materials and methods that are familiar,
190 all while having a test that is interpreted objectively. Whether the assay can
191 reliably detect other carbapenemase families (e.g. GES) or whether shorter
192 incubation periods can be used deserves further study.

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