

Challenges and Solutions in Mapping Small Area Health Data

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2018 CSTE Pre-conference Workshop
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- Geocoding National & New York State Mortality Data
- Examples of displaying uncertainty on maps
- How to classify data (cut points)
- Selecting map colors
- Geographic Aggregation

Geocoding

- *Geocoding* is the process of transforming an address to a location on the Earth's surface.
- The location can either be a point (latitude, longitude) or an area (census tract)

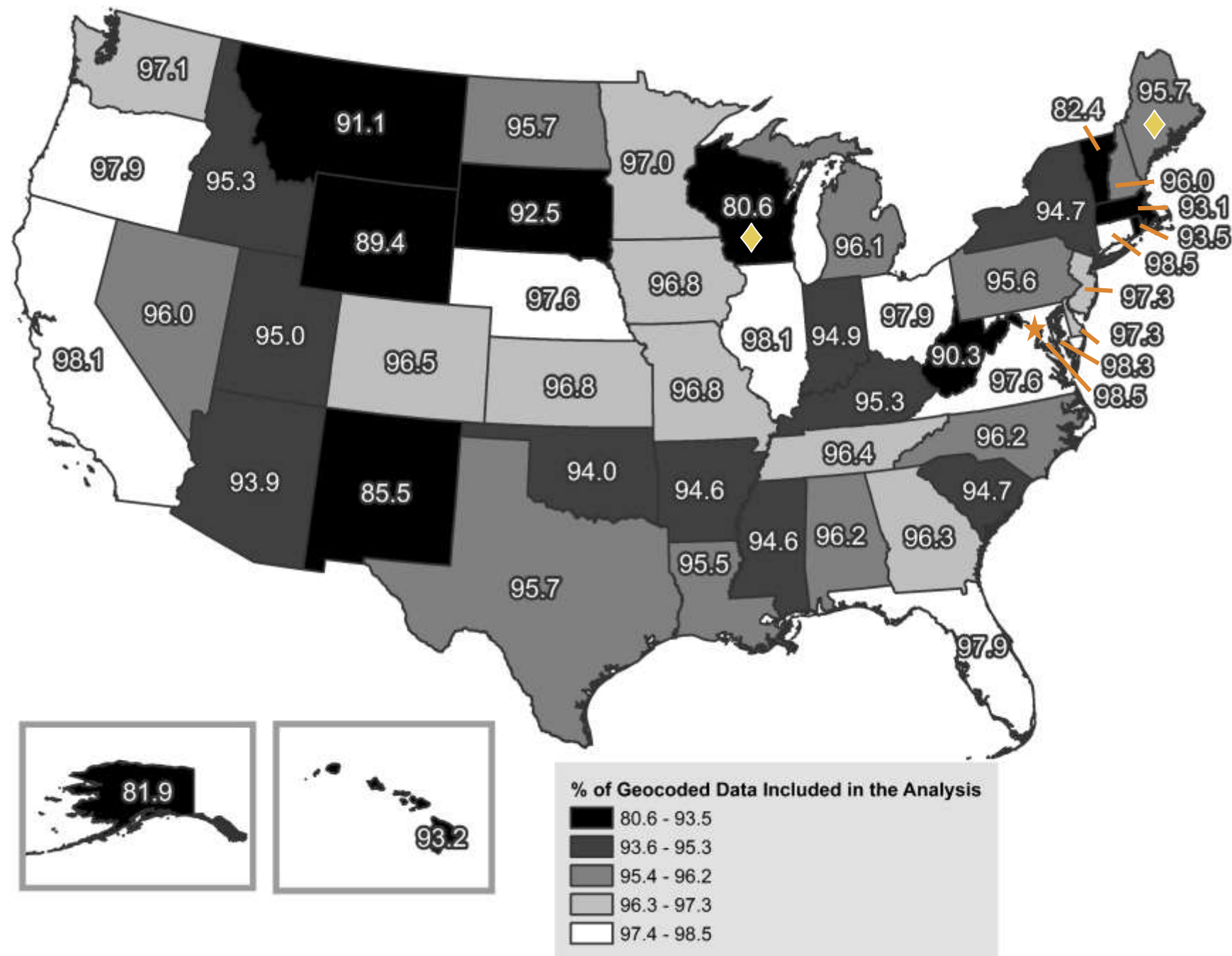
US Small-Area Life Expectancy Estimates Project

Geocoded the Nations Death Certificate Data
2010-2015

- HUD, through its Geocode Service Center, validated and geocoded the death certificate data
- 96.1% of addresses were geocoded to the census tract based on the street address or 9-digit ZIP Code

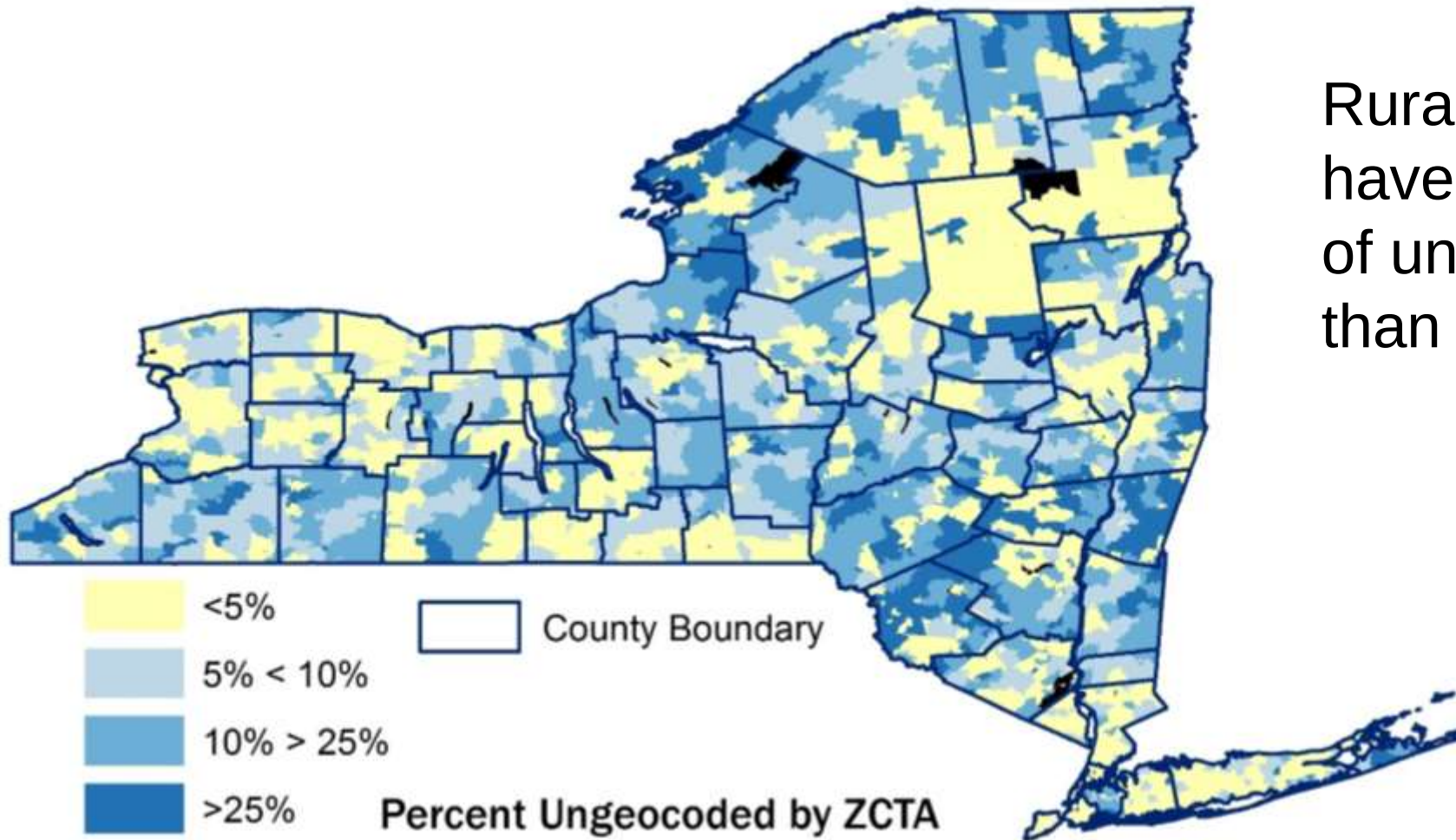
% of 2010-2015 Records Geocoded*

* ME and WI: % of records that were geocoded 2011-2015



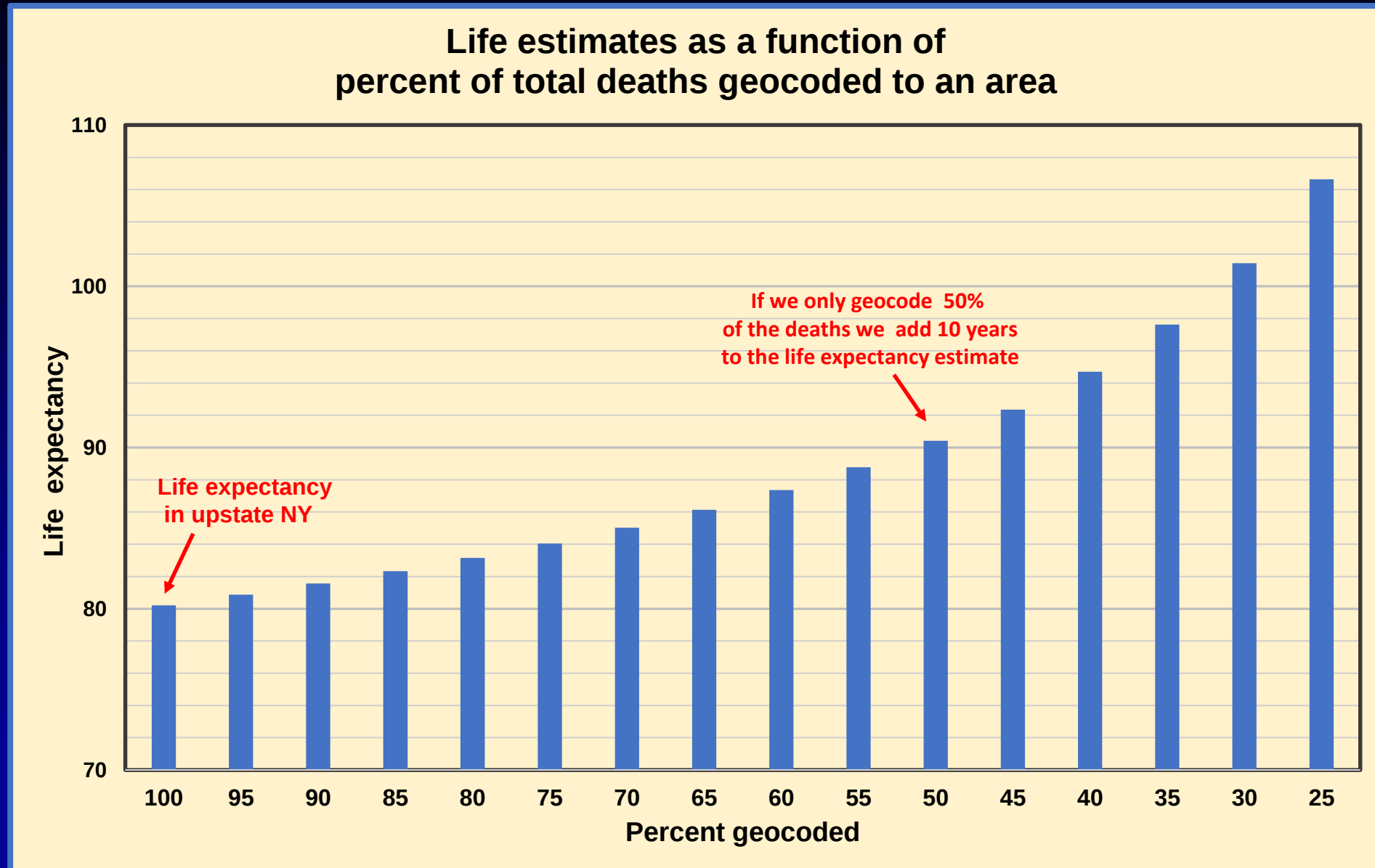
From a webinar, Geocoding the nation's mortality data by Loraine Escobedo, NCHS 5/15/2018

Percent Non-geocoded hospital records by ZCTA



Rural areas are likely to have a greater percentage of ungecoded records than non-rural areas

Incomplete geocoding of mortality data can lead to bias estimates of life expectancy



Assumes area has a life expectancy the same as the upstate NY area & both the deaths geocoded & deaths not geocoded have the same age distribution

Positional error in the geocoded data may bias results. Estimating positional error is time consuming involving random sampling of addresses and interactive geocoding.

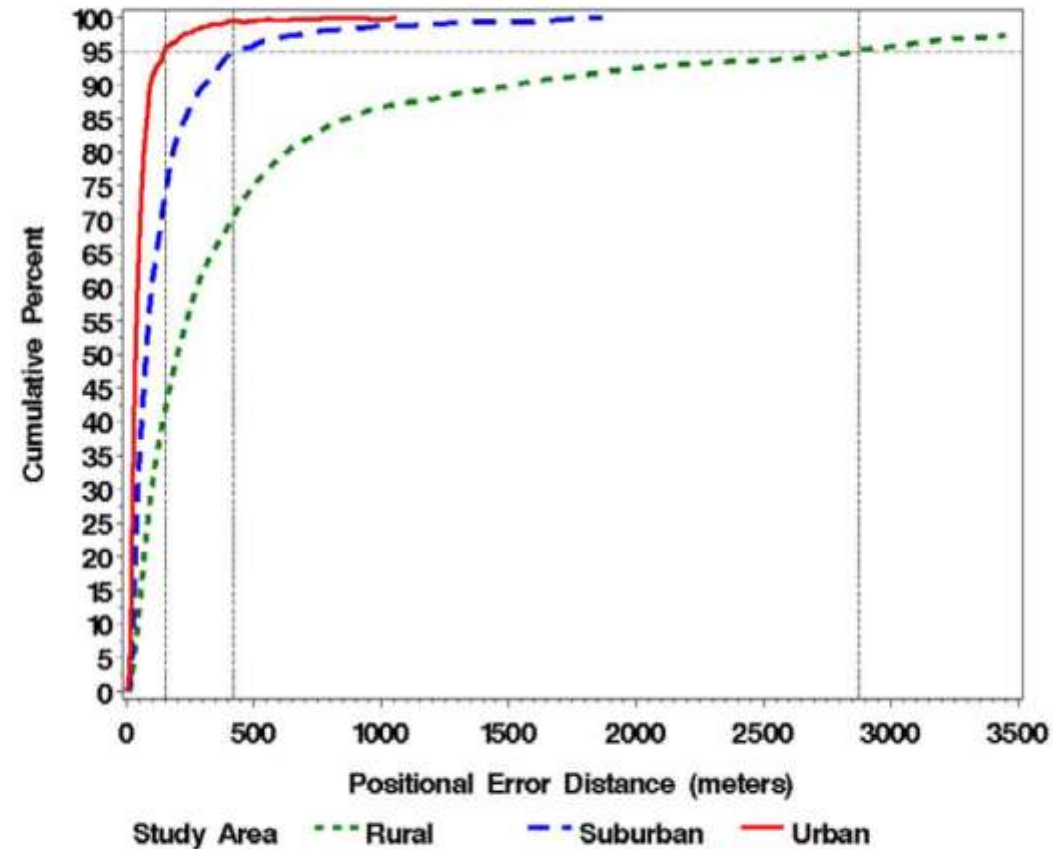
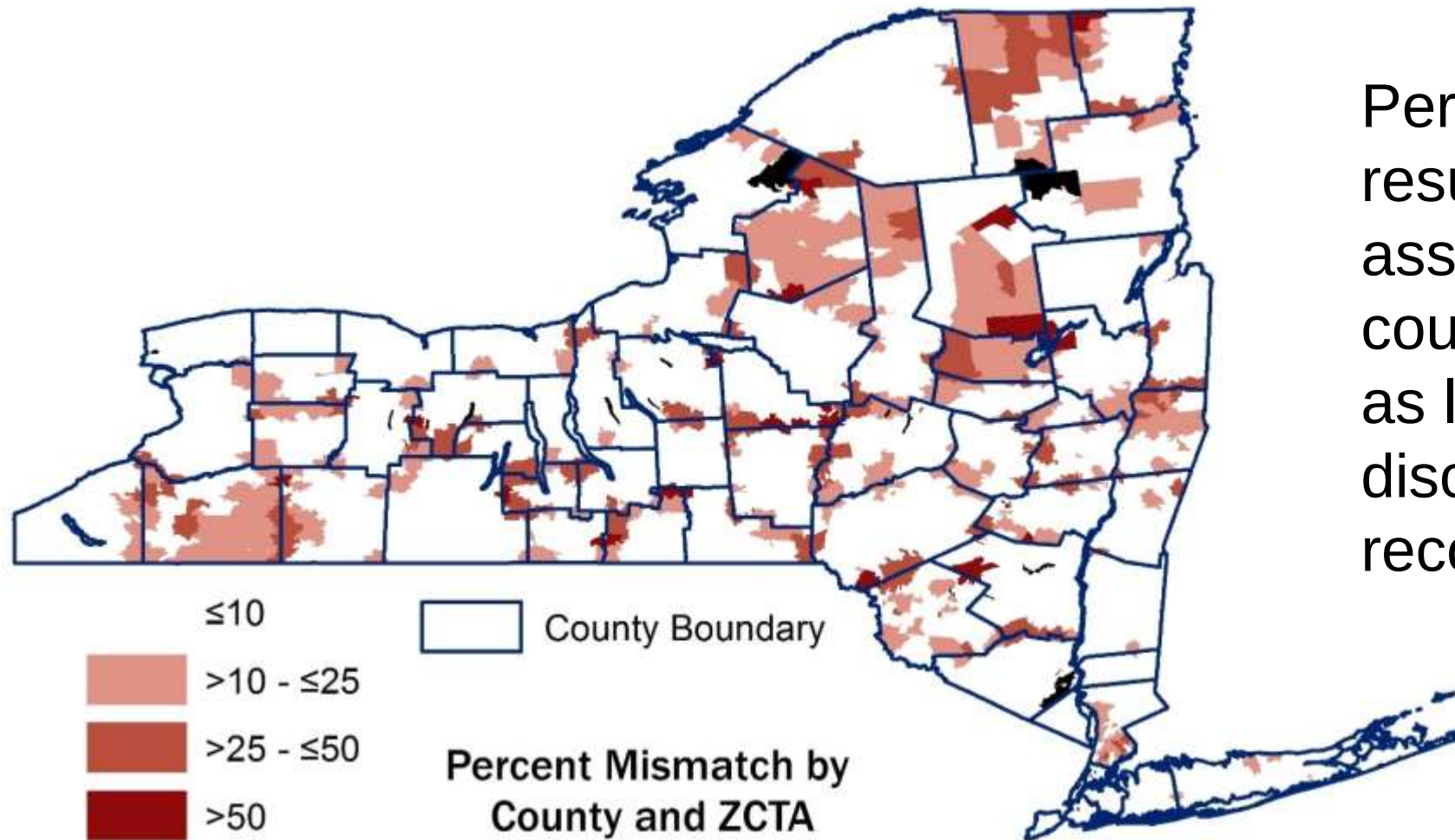


Figure 4

TIGER based positional error cumulative density distribution. This plot can be used to estimate the percent error at any distance for the three density classifications. The vertical dashed lines show the error distance at the 95th percentile.

Percent Mismatch



Percent of geocoded results that were not assigned to the same county based on address, as listed in the hospital discharge data county record

New York State SCALE Project

Geocoded 99.97%

2008-2012 NY State deaths (excluding NYC) to the census tract*

Stepwise process

- 96.9% geocoded using either residential rooftop locations or street line files¹
- 0.6% geocoded using address linked to hospital files
- 0.2% geocoded if the ZIP code was completely contained within a tract
- 0.6% geocoded if house number was missing but the street was completely contained in tract
- 1.2% interactively geocoded
- 0.5% imputed to tract based on ZIP code, town or county, race, ethnicity and age²

* *Talbot et al. Calculating census tract-based life expectancy in New York state: a generalizable approach. Pop Health Metrics, 2018 Jan 26; 16(1):1*

1) NYS GIS Program Office Geocoding Services. New York State GIS Program Office. 2016. <https://gis.ny.gov/gisdata/inventories/details.cfm?DSID=1278>. Accessed 19 Dec 2016.

2) Henry KA, Boscoe FP. Estimating the accuracy of geographical imputation. *Int J Health Geogr*. 2008; <https://doi.org/10.1186/1476-072X-7-3>.

Choosing cut points, color & displaying uncertainty on maps

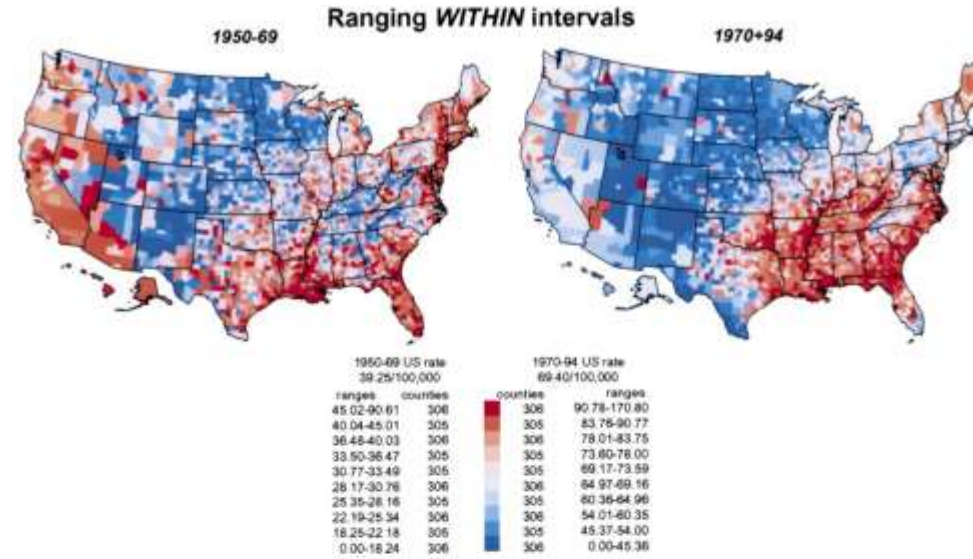
- **Who is the audience?**
 - Will they understand the map?
 - What will they use the map for?
- **What do you want to highlight?**
 - Changes in time
 - Compare data with other jurisdictions
 - Examine similarities in geographic patterns between multiple indicators
 - Highlight areas with highest rates
 - Show magnitude of the differences in rates

Showing data for different time periods

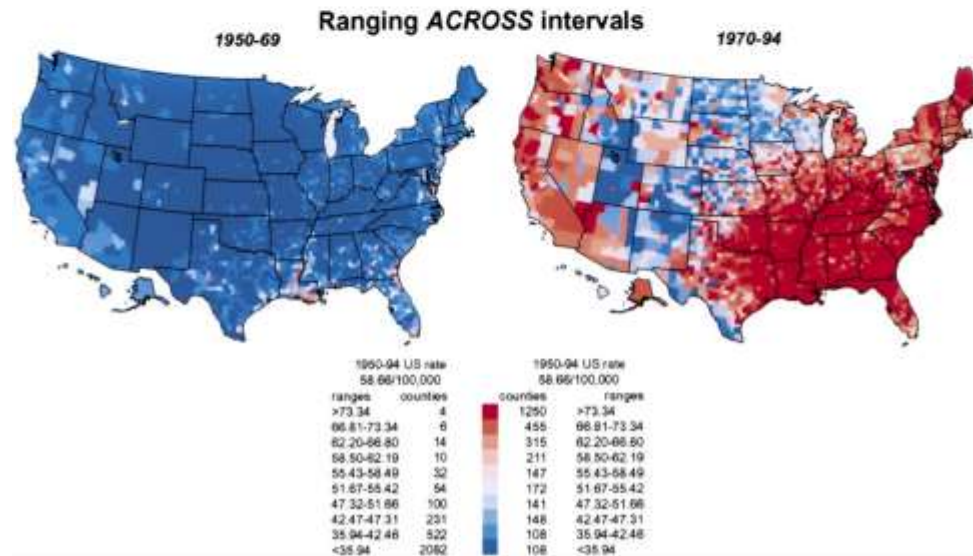
Shows the highest and lowest rates in each time period



Lung cancer mortality rates by county for the two time intervals, from 1950 through 1969 and from 1970 through 1994



Shows changes in rates over time



Different methods for classifying
data for choropleth maps

These methods are often programmed
into the GIS Software

Quantiles

Equal number of areas in each class

Advantages:

Quantiles can be useful at comparing relative rankings between indicators.

Rankings can also be looked at over time.

Disadvantages:

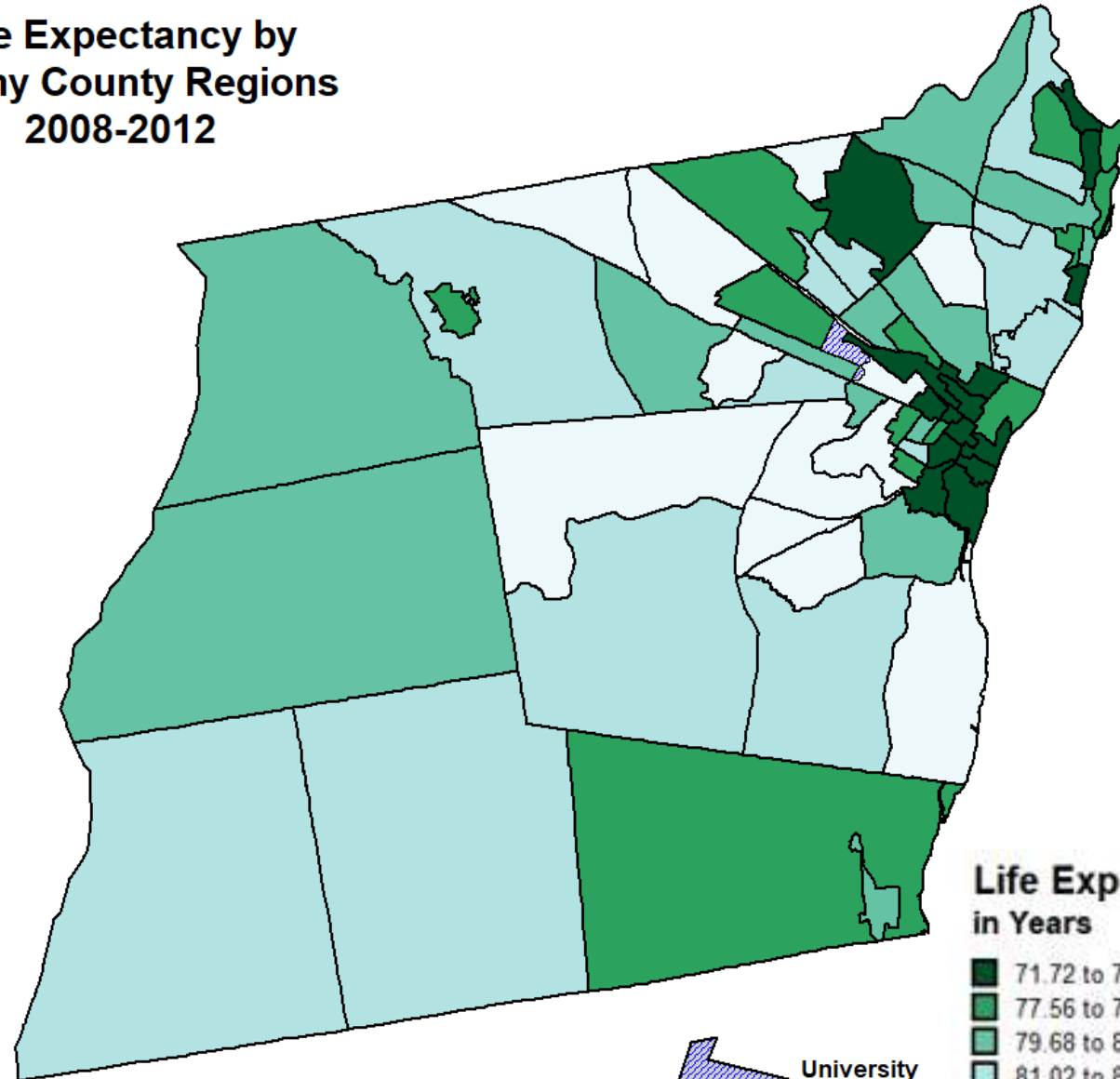
Because equal numbers of areas are placed in each class. Areas with similar rates can be placed in adjacent classes, or areas with widely different values can be put in the same class.

If each state uses quantile methods rates can not be compared between states since the cut points will not be the same.

Solutions:

Increase number of classes

Life Expectancy by Albany County Regions 2008-2012



Life Expectancy in Years

	Interval
71.72 to 77.56	5.8 years
77.56 to 79.68	2.1
79.68 to 81.02	1.3
81.02 to 82.27	1.6
82.27 to 85.94	3.7



Equal Intervals

Min & max value used so that each class has the same interval

Advantages:

Useful in comparing patterns of absolute values and locations of outliers

Disadvantages:

Maybe difficult to compare across indicators since there are not equal numbers in each class

The class intervals can be very large with most the data grouped in the same class.

Solutions

Use equal intervals for all the classes in the middle of the distribution but for the tails use a different interval

Classification

Classification

Method: Equal Interval

Classes: 5

Data Exclusion

Exclusion ...

Sampling ...

Columns:

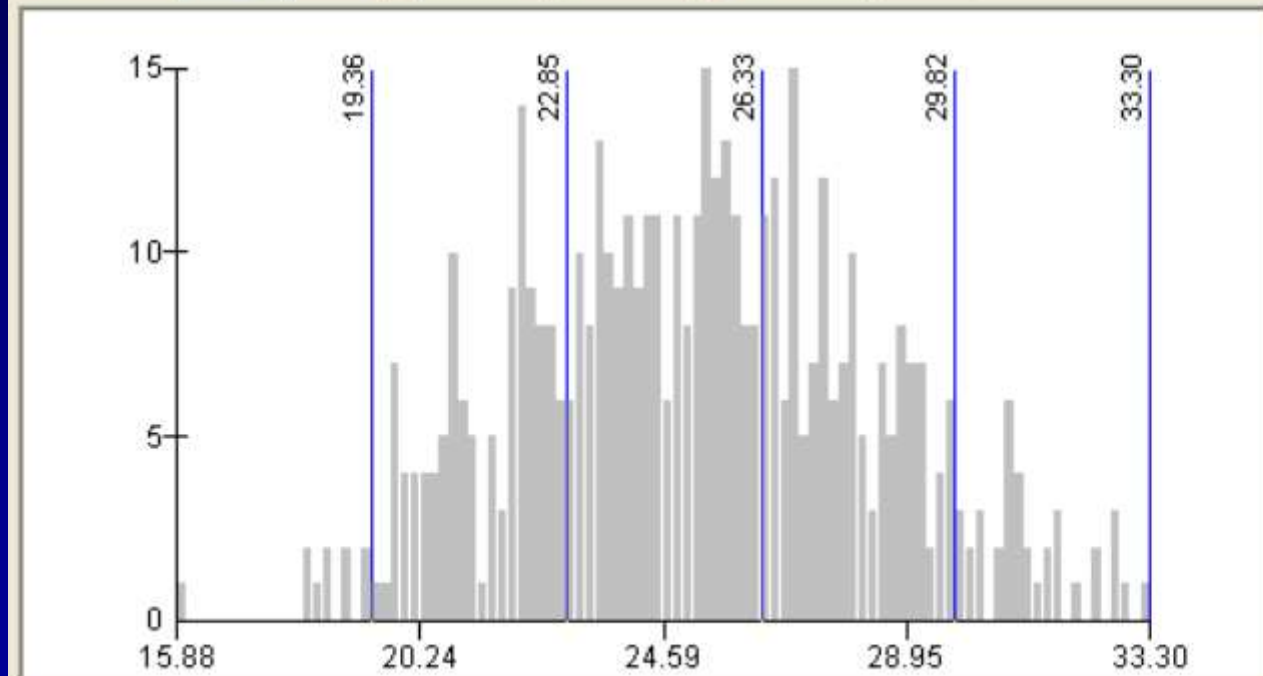
100

☐ Show Std. Dev.

☐ Show Mean

Classification Statistics

Count: 506
Minimum: 15.88
Maximum: 33.30
Sum: 12737.47
Mean: 25.17
Median: 25.21
Standard Deviation: 3.11



Break Values

%

19.36
22.85
26.33
29.82
33.30

OK

Cancel

☐ Snap breaks to data values

Natural Breaks (Jenks' Method)

Variance is minimized within classes and maximized among classes

Advantages:

Maximizes differences between classes

Disadvantages:

Difficult to explain to public

Data-specific classifications, not useful for comparing multiple maps built from different underlying information.

The class intervals can be very large with most the data grouped in the same class.

Solutions

Use method to better understand your data if you are manually deciding on class breaks.

Classification

Classification

Method: Natural Breaks (Jenks)

Classes: 7

Data Exclusion

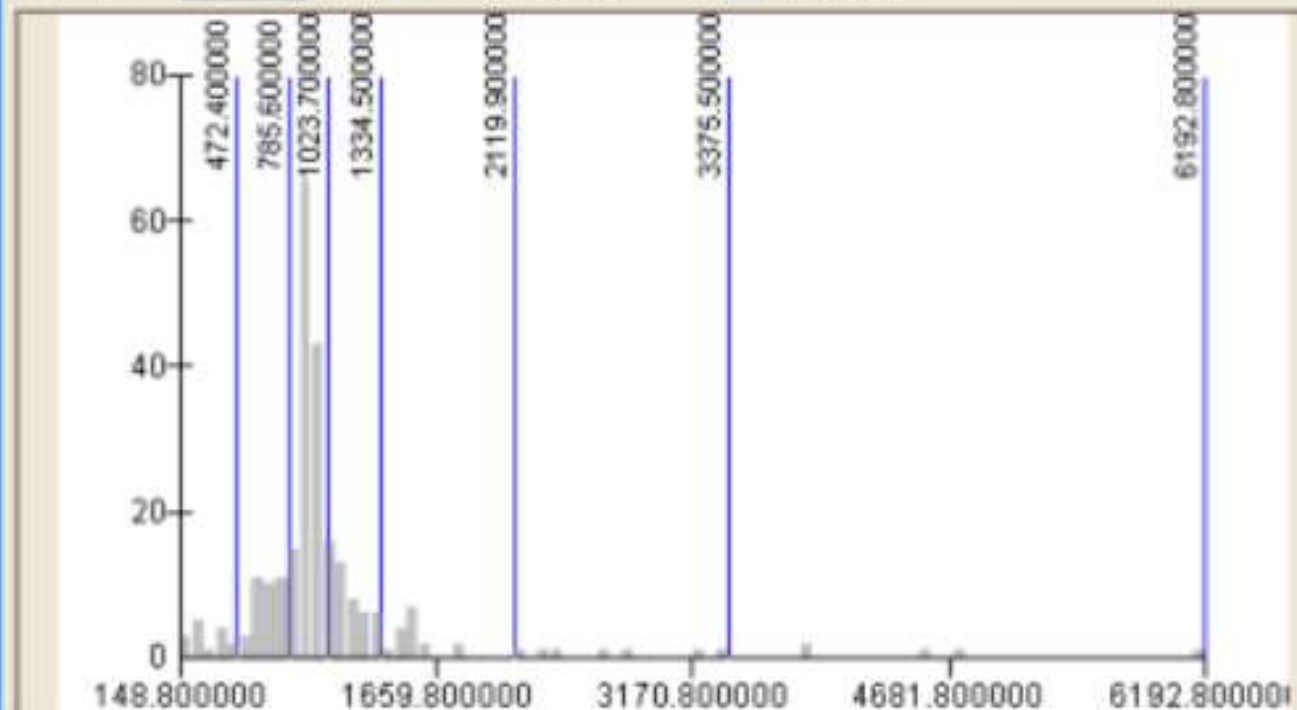
Exclusion ...

Sampling ...

Columns: 86

☐ Show Std. Dev.

☐ Show Mean



☐ Snap breaks to data values

Classification Statistics

Count: 254
Minimum: 148.800000
Maximum: 6192.800000
Sum: 264711.600000
Mean: 1042.171654
Median: 919.350000
Standard Deviation: 656.084297

Break Values

%

472.400000
785.600000
1023.700000
1334.500000
2119.900000
3375.500000
6192.800000

< >

OK

Cancel

Standard Deviation

Class breaks are determined by standard deviations from the mean

Advantages:

Used to help identify areas that are above and below the mean.

Can be used to compare multiple indicators.

Typically will have more areas in the class around the mean than at either tail of the distribution.

Good for identifying extreme values

Disadvantages:

Different number of areas in each class

Comparing data across states or across time difficult since the class breaks will change with the data.

Solutions

Determine class breaks based on nationwide data & across all time periods.

Percent Difference from the Mean

Class breaks are determined by percent difference from the mean

Advantages:

Used to help identify areas that are above and below the mean.

Can be used to compare multiple indicators.

Easy to explain to the public.

Good for identifying extreme values.

Disadvantages:

Different number of areas in each class

Comparing data across states or across time difficult since the class breaks will change with the data.

Solutions

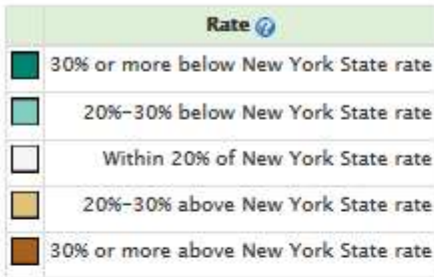
Determine class breaks based on nationwide data & across all time periods.

Example: Percent difference from the mean

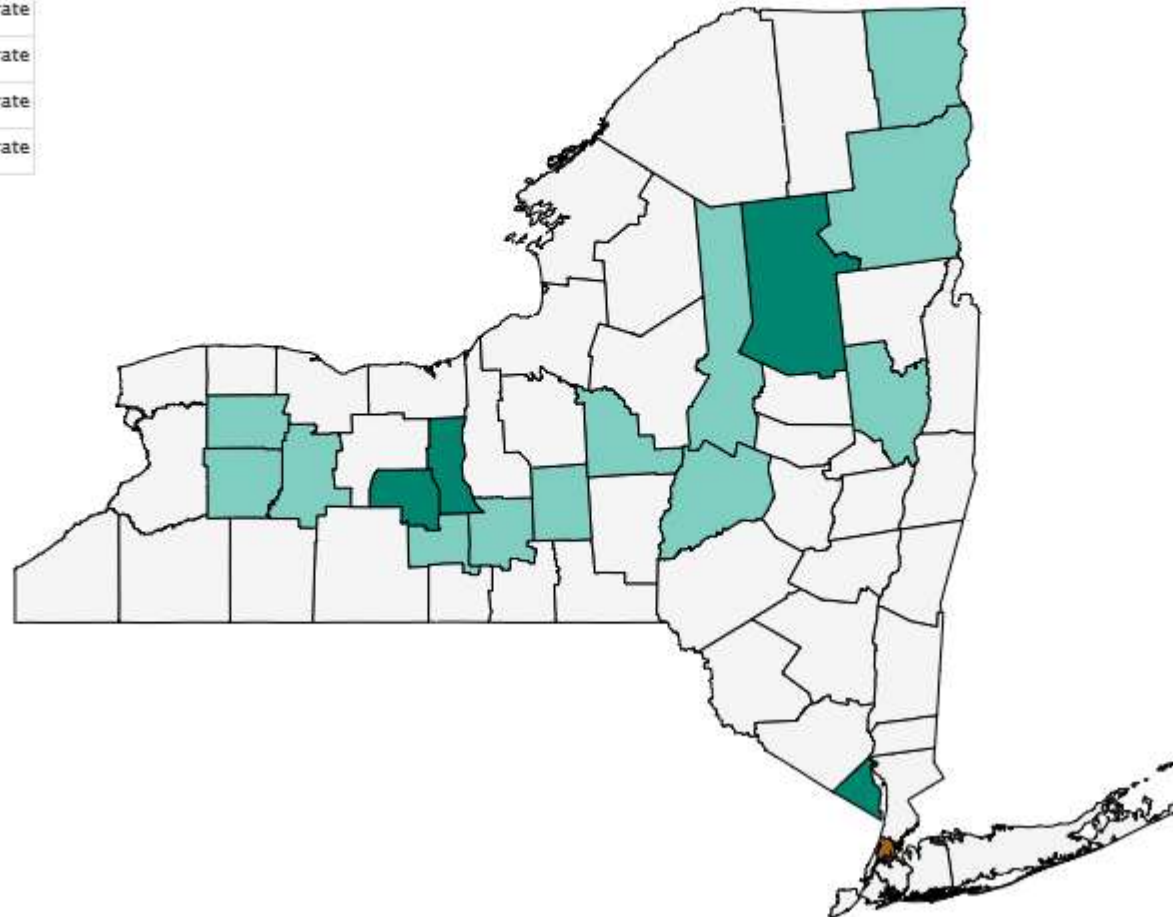
Low Birth Weight Rate per 100 Singleton Births, 2008-2012 ?

Zoom to NY City

Zoom to NY State



New York State rate: 6.16



ColorBrewer



A web tool for selecting colors for maps

The Team

Cynthia Brewer: Concept / Colors / Editor

Mark Harrower: Design / User Interface

Ben Sheesley: Design / User Interface

Andy Woodruff: Developer

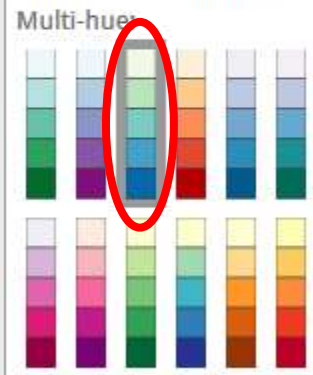
David Heyman: Developer

<http://colorbrewer2.org>

Number of data classes: 5

Nature of your data:
☒ sequential ☐ diverging ☐ qualitative

Pick a color scheme:



Only show:
☐ colorblind safe
☐ print friendly
☐ photocopy safe

Context:
☐ roads
☐ cities
☒ borders

Background:
☒ solid color
☐ terrain
color transparency

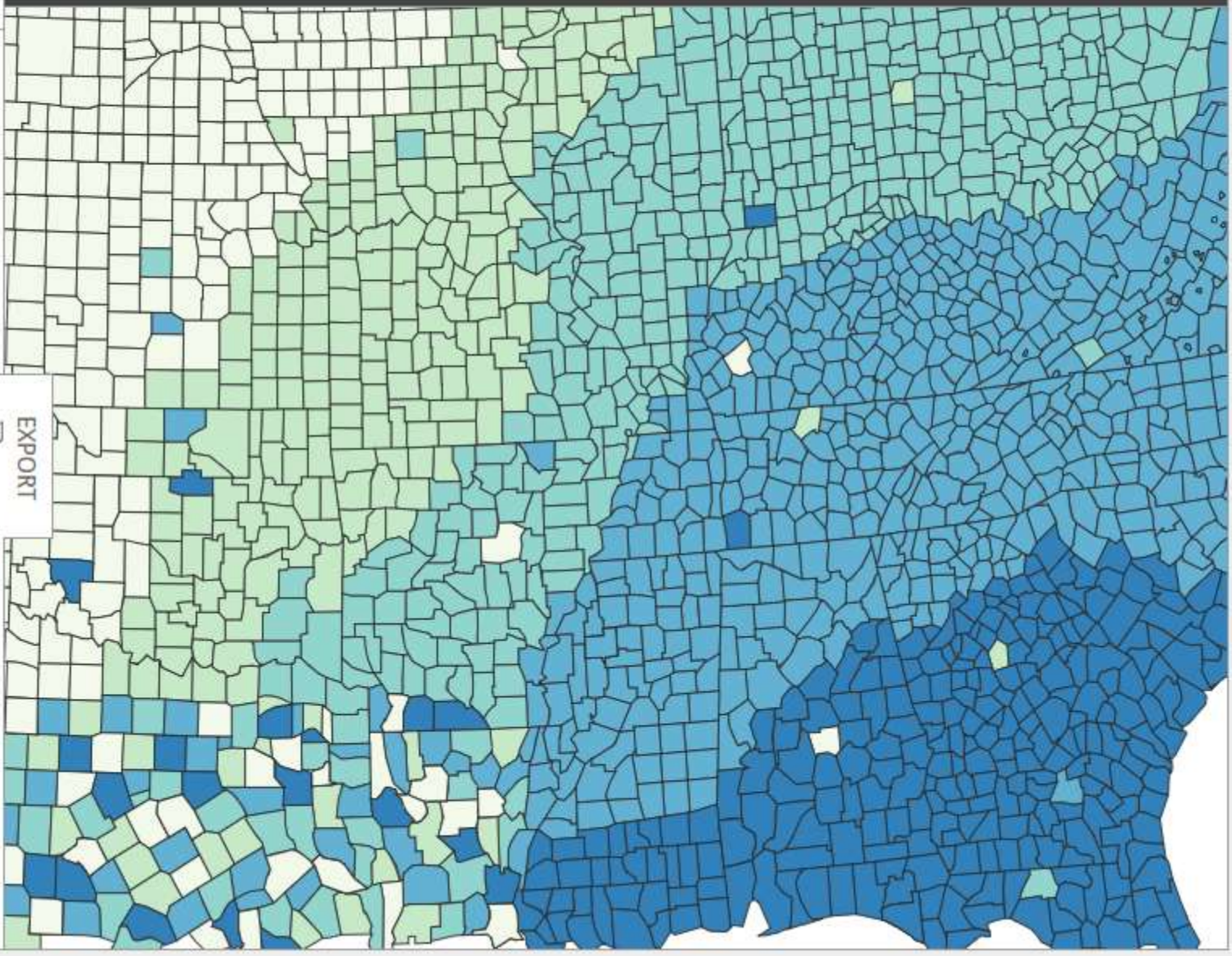
5-class GnBu
RGB

- 240,249,232
- 186,228,188
- 123,204,196
- 67,162,202
- 8,104,172

[how to use](#) [updates](#) [downloads](#) [credits](#)

COLORBREWER 2.0

color advice for cartography



Number of data classes

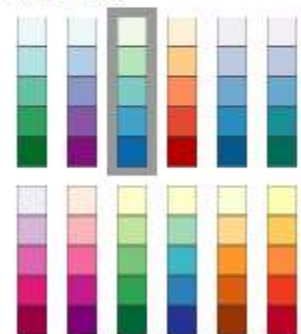
9

Nature of your data:

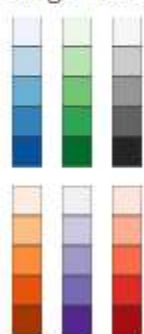
☒ sequential ☐ diverging ☐ qualitative

Pick a color scheme:

Multi-hue:



Single hue:



Only show:

- ☐ colorblind safe
- ☐ print friendly
- ☐ photocopy safe

Context:

- ☐ roads
- ☐ cities
- ☒ borders

Background:

- ☒ solid color
- ☐ terrain

color transparency

9-class GnBu



RGB

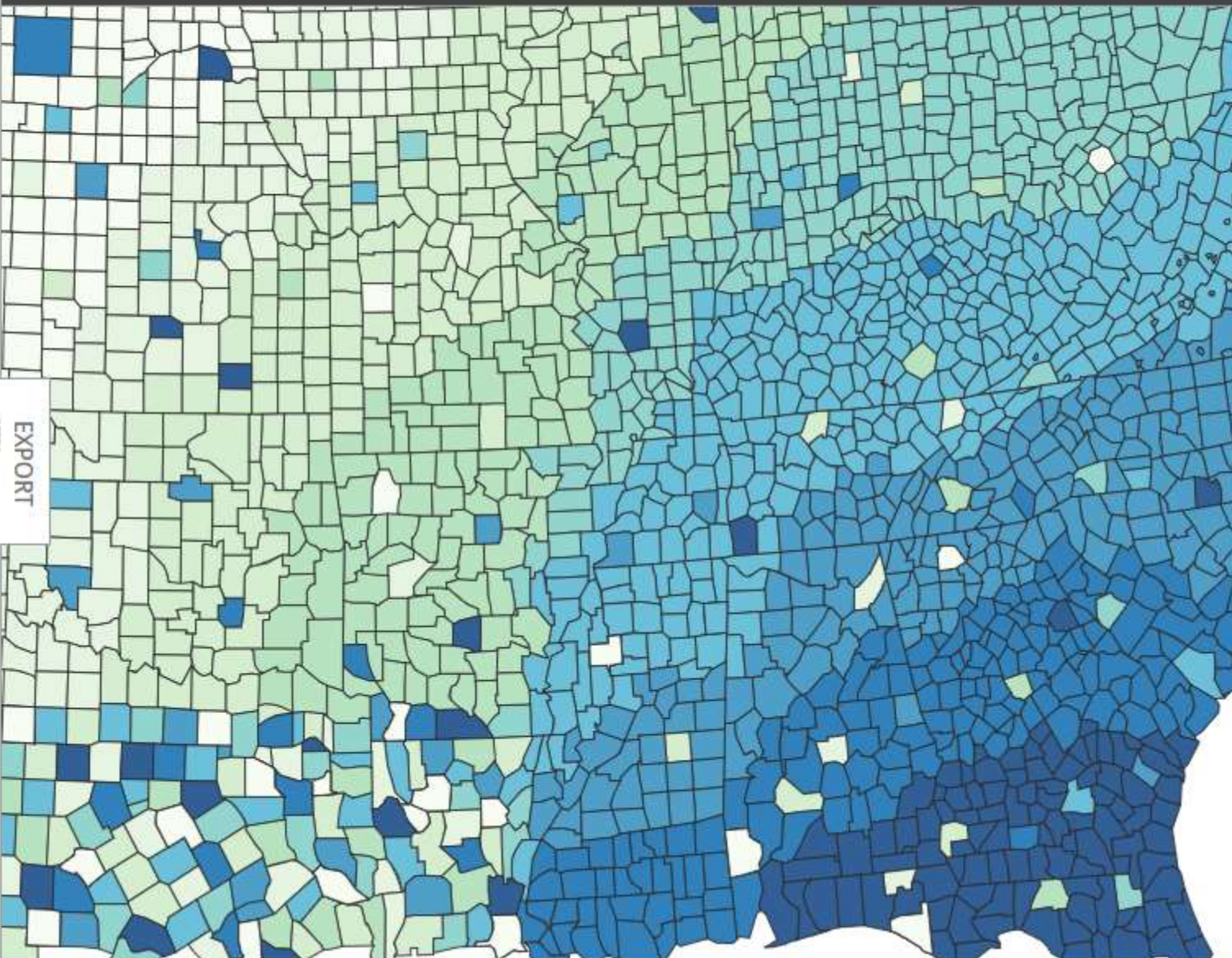
▼

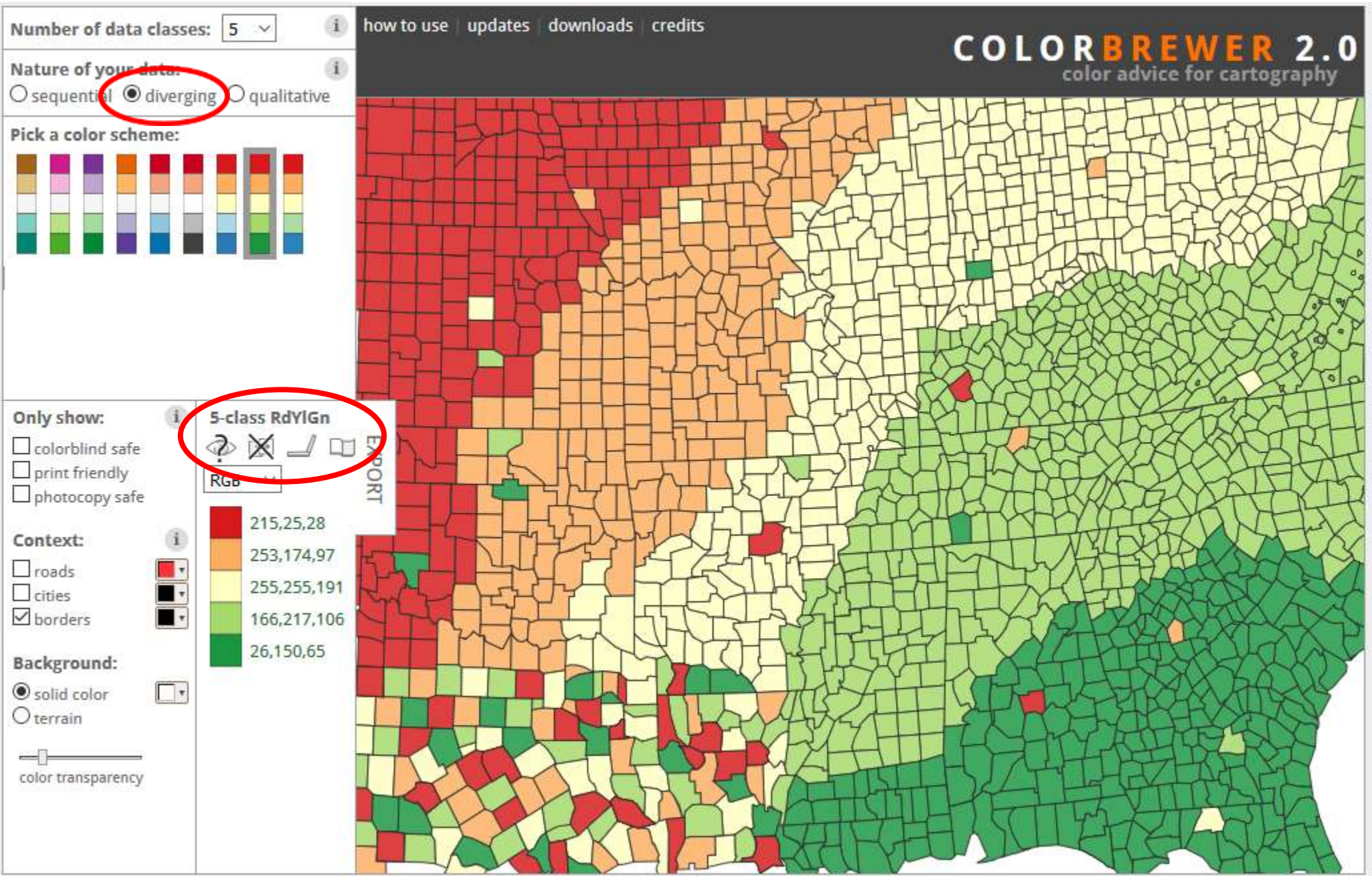


EXPORT

[how to use](#) [updates](#) [downloads](#) [credits](#)

COLORBREWER 2.0
color advice for cartography





Number of data classes: 5



[how to use](#) | [updates](#) | [downloads](#) | [credits](#)

COLORBREW 2.0

color advice for cartography

Nature of your data:



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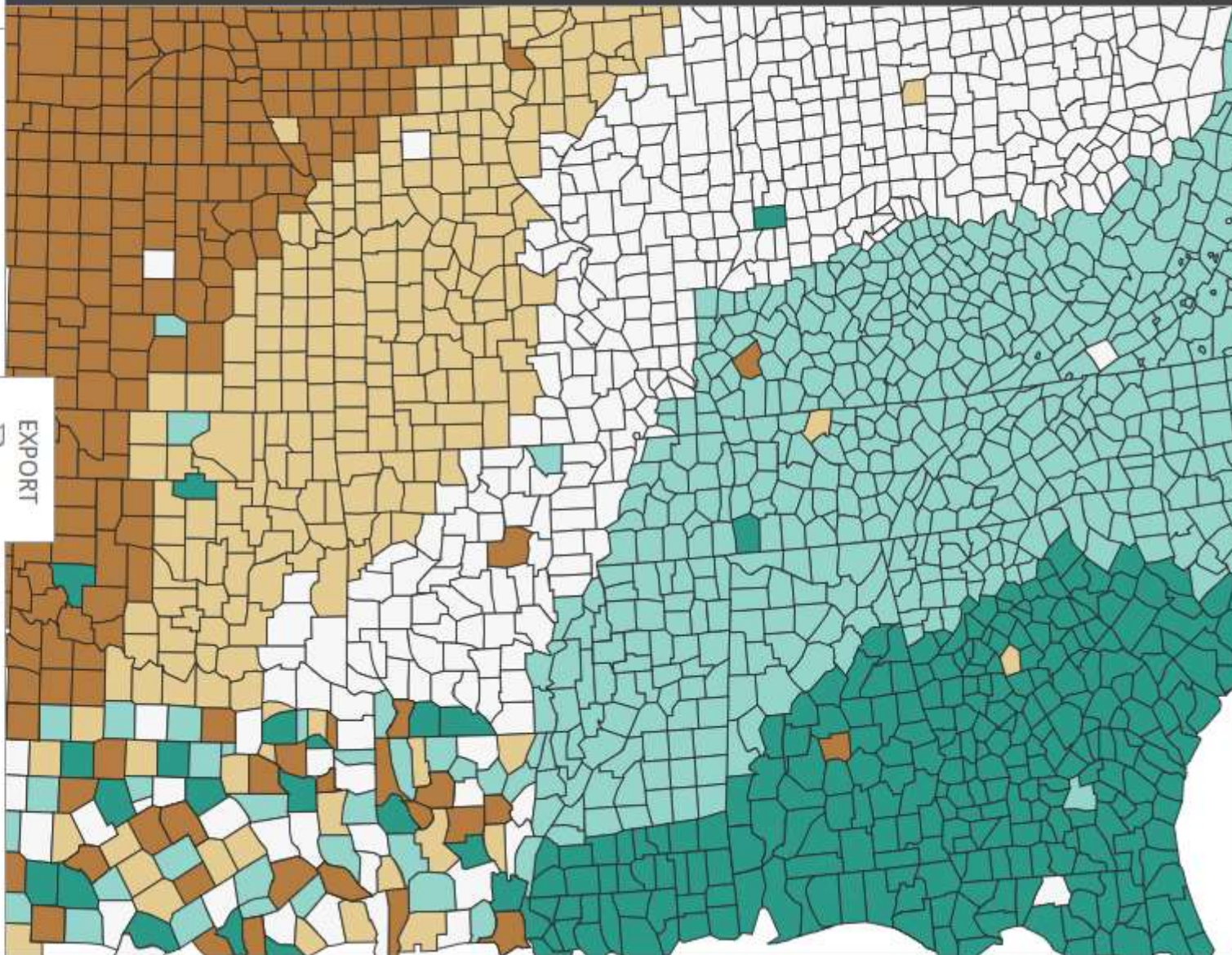
5-class BrBG



EXPORT

RGB

- 166,97,26
- 223,194,125
- 245,245,245
- 128,205,193
- 1,133,113



Number of data classes: 5



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COLORBREWER 2.0

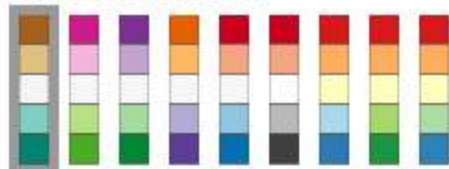
color advice for cartography

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Background:

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- ☐ terrain



color transparency

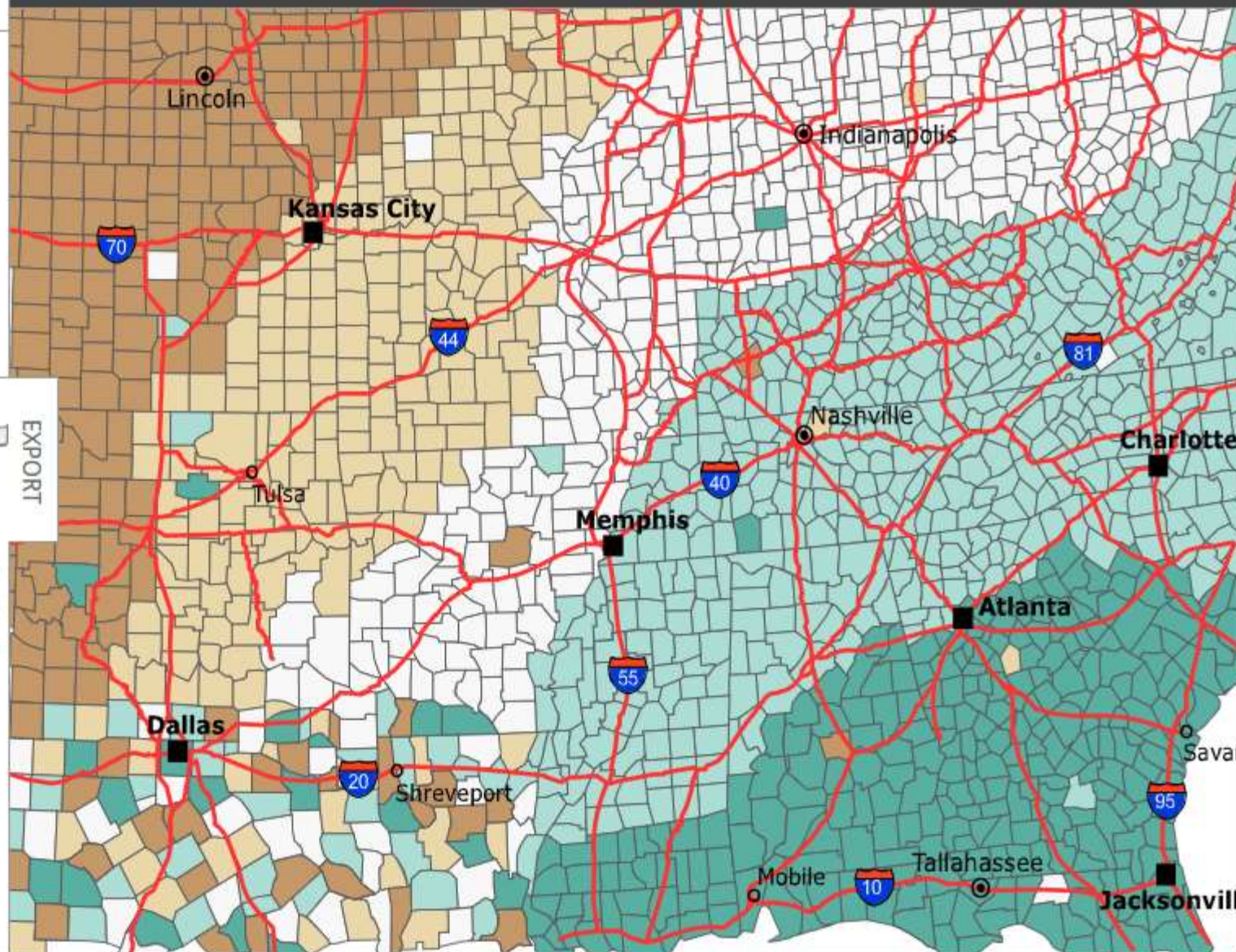
5-class BrBG



RGB

166,97,26
223,194,125
245,245,245
128,205,193
1,133,113

EXPORT

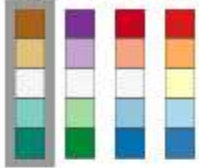


Number of data classes: 5

Nature of your data:

☐ sequential ☒ diverging ☐ qualitative

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5-class BrBG

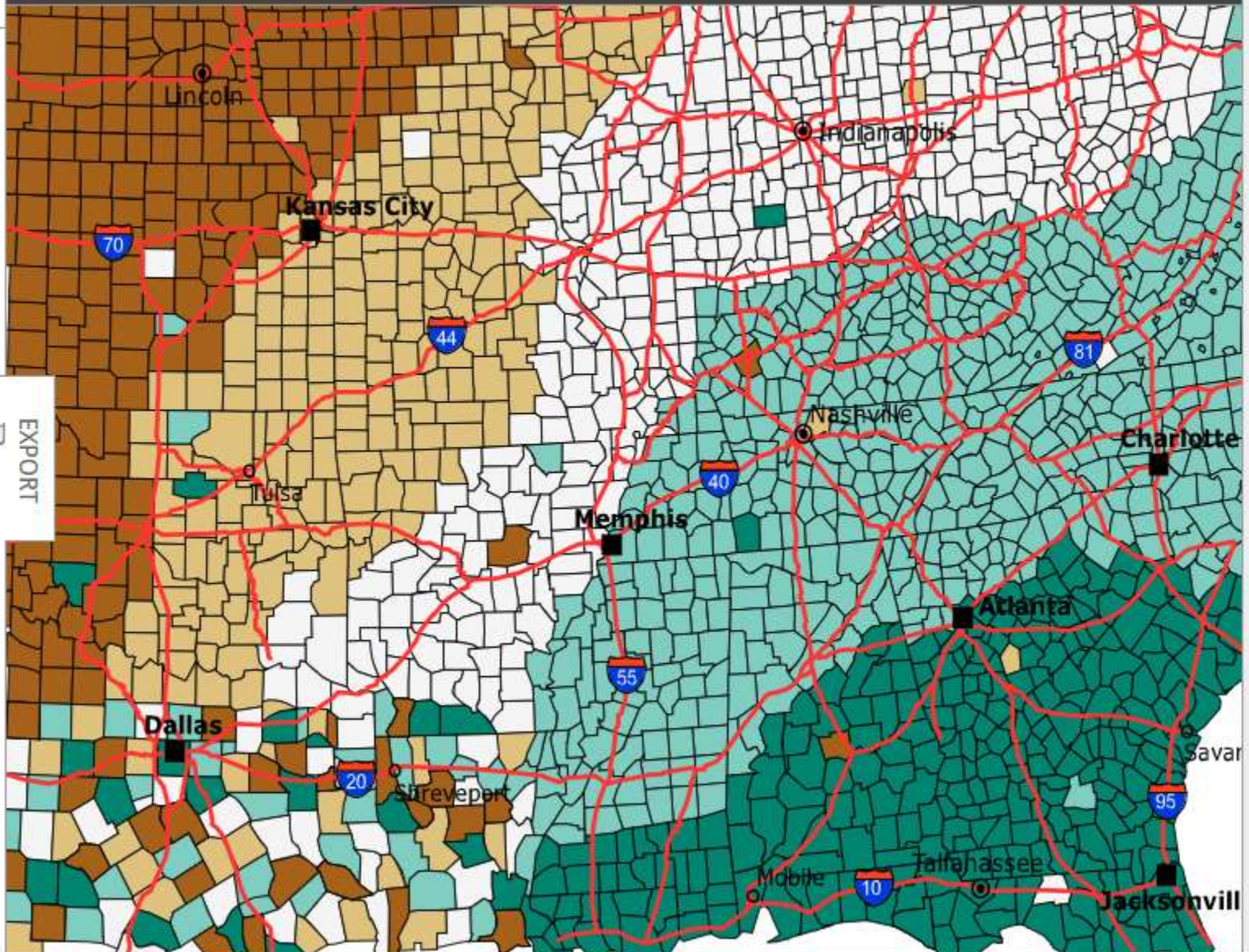
RGB

166,97,26
223,194,125
245,245,245
128,205,193
1,133,113

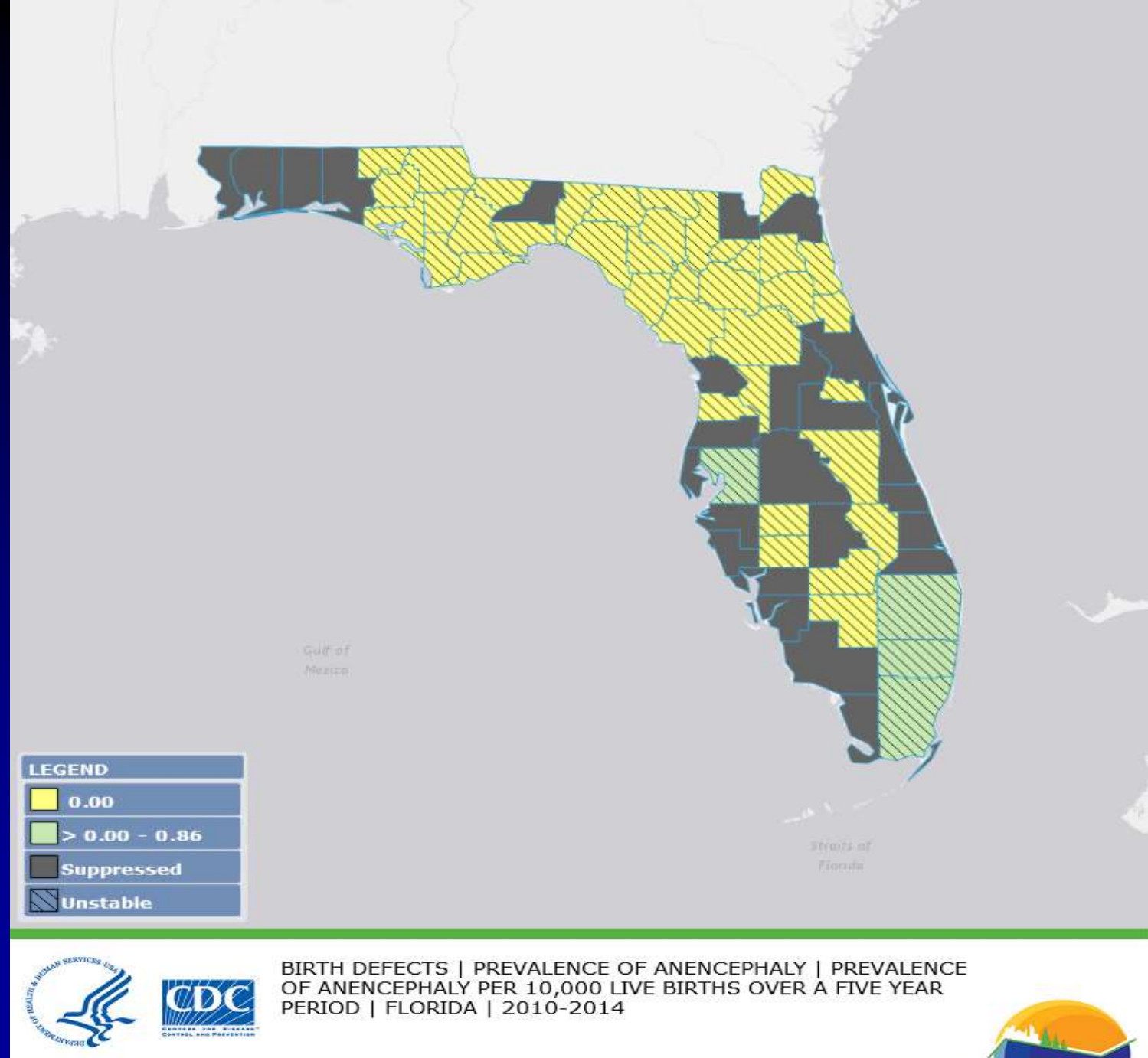
EXPORT

[how to use](#) [updates](#) [downloads](#) [credits](#)

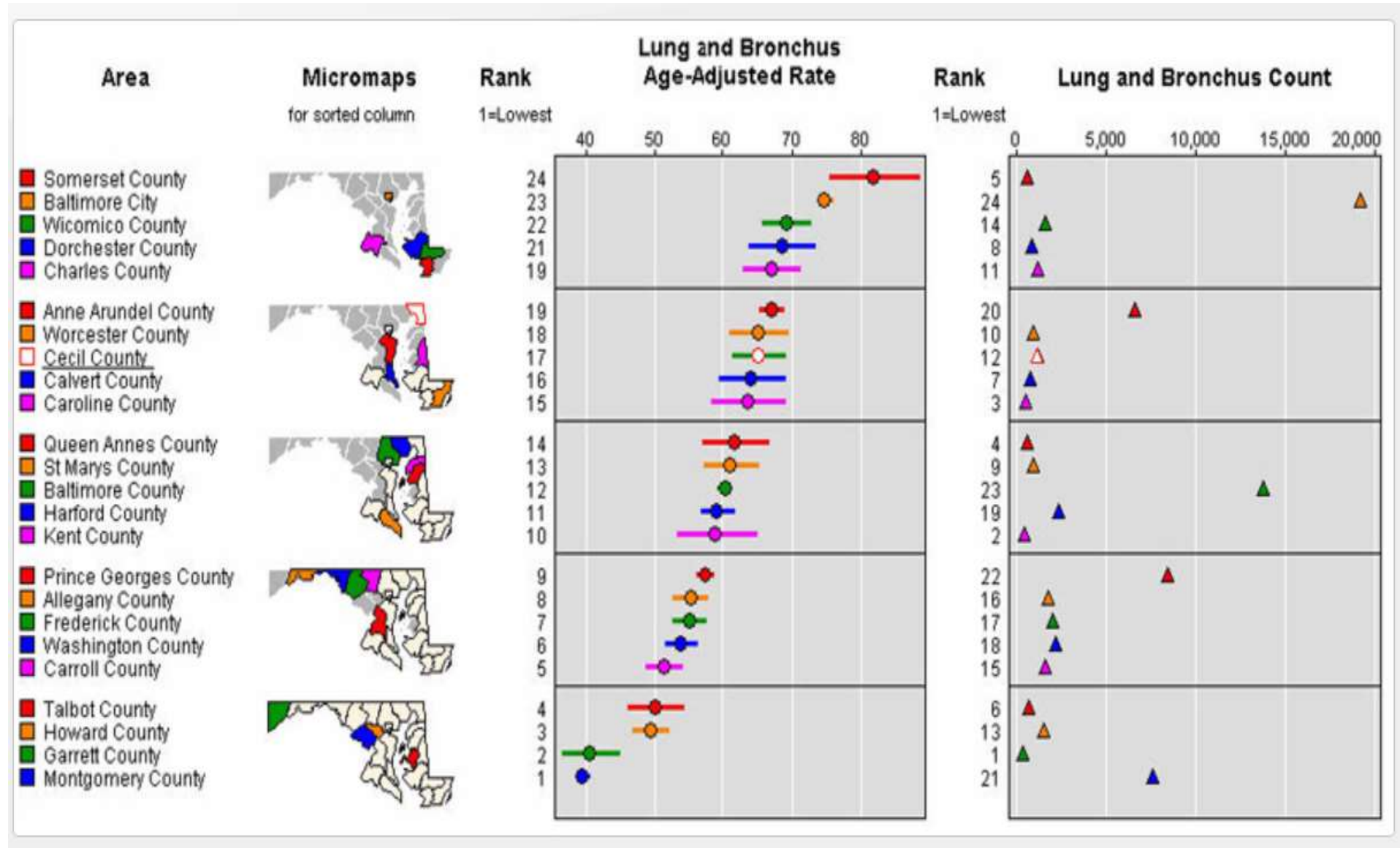
COLORBREWER 2.0
color advice for cartography



Displaying Uncertainty



Maps linked to graphs showing confidence intervals

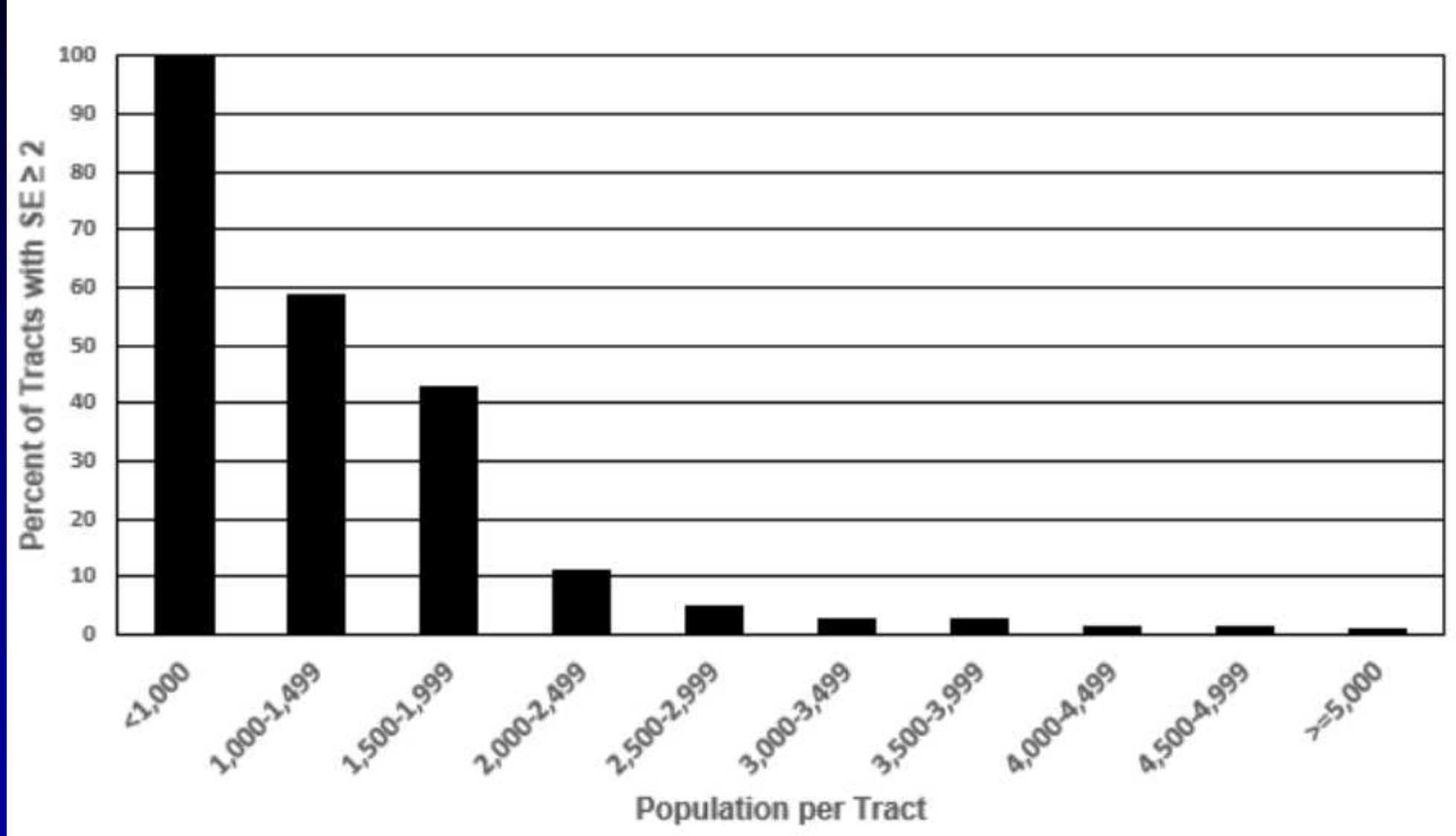


Geographic Aggregation

- Rates will be unstable in areas with small numbers of people & health events.
- One solution is to aggregate several years of data.
- Even after temporal aggregation we might not have enough data to produce reliable rates in some areas.
- Solution: aggregate small areas into larger areas until we have adequate data for reliable indicators

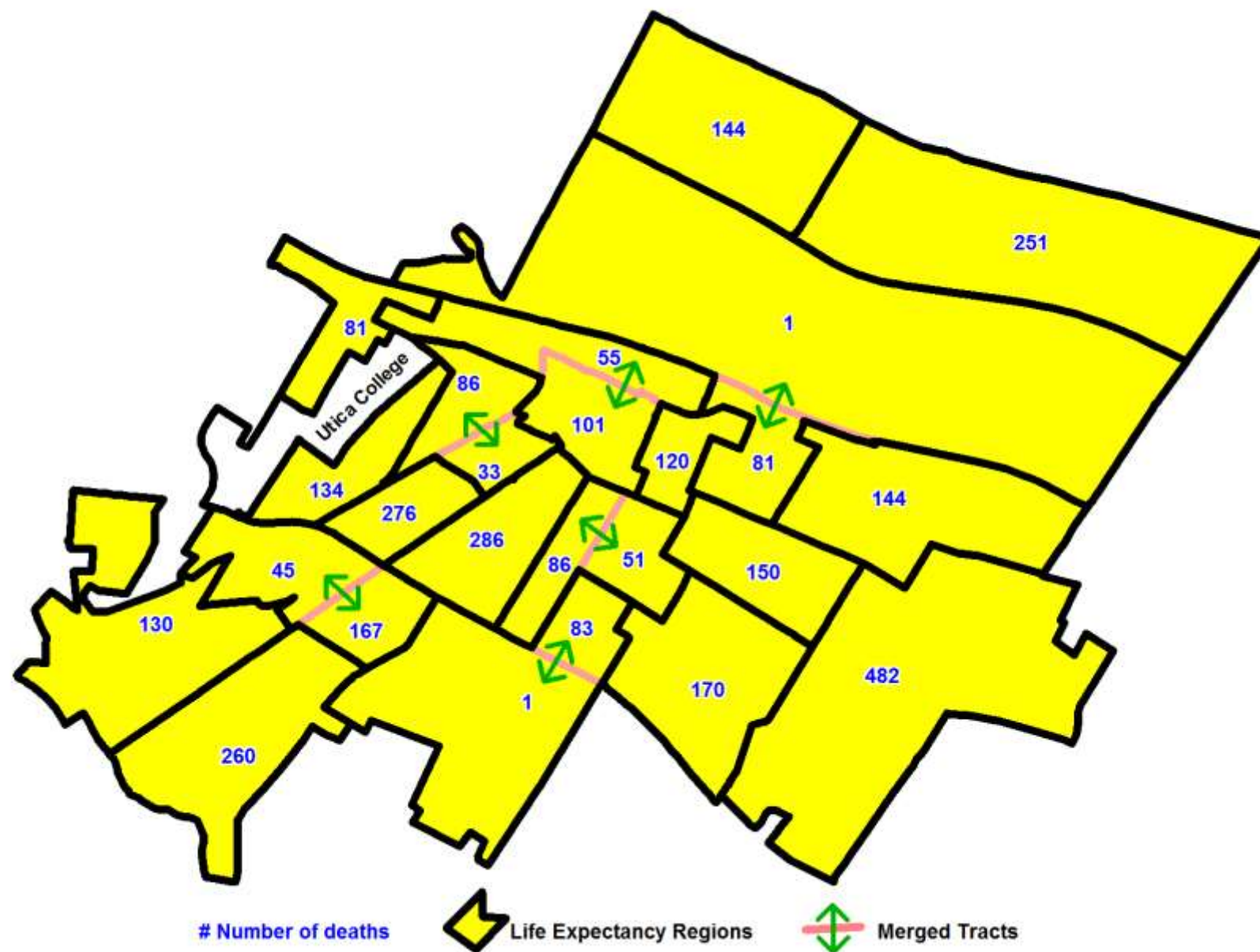
Selecting the geographic scale for calculating life expectancy in NY

5 years of death data 2008-2012



Geographic Aggregation

- Aggregated census tracts together so each region contained at least 60 deaths and had a standard error <2 years.
- Set our Geographic Aggregation Tool so no region crossed larger town or city boundaries.
- In rural areas regions were created to not cross county boundaries



Aggregation Tool

Original Block Data †

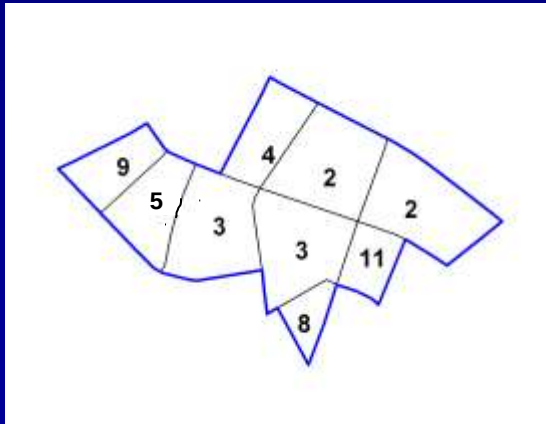
Block	Cases
122300/2004	2
122300/2005	11
014500/3005	2
014500/3007	3
014500/3008	8
014500/3009	3
014500/3010	4
103202/2001	9
103202/2002	5

SAS or R Tool

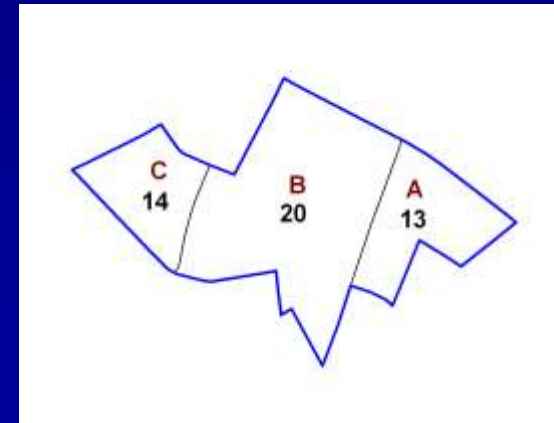


Regions

Block	Cases	Region
122300/2004	2	A
122300/2005	11	A
014500/3005	2	B
014500/3007	3	B
014500/3008	8	B
014500/3009	3	B
014500/3010	4	B
103202/2001	9	C
103202/2002	5	C



Cases	Region
13	A
20	B
14	C





Contact Information:

Please send comments and suggestions to:
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Environmental Health Surveillance Section
New York State Department of Health
547 River Street, Rm 200, Troy, NY 12180
Email: tot01@health.state.ny.us

Introduction

Health outcome maps with fine geographic resolution can be misleading due to rates caused by small numbers. In some cases these maps can overcome these limitations the New York State Department of Health Section developed the Geographic Aggregation Tool to join defined population and/or number of cases is reached. The finest geographic resolution practicable.

The tool allows for restrictions so that areas are not too small. Users may want to merge census tracts into larger areas or could also restrict the merging so that areas are not too large water bodies.

The tool has been tested in R 2.9.2 and 2.10.0. It has programming experience to run the tool on the geographic boundaries of the areas and a numeric variable.

The program outputs a shapefile or GIS programs such as ArcGIS. The produced KML files can be viewed in Google Earth.

In addition to the tool, the program can simulate total number of cases in New York State by poverty and education.

If the software is improved, the results will be better.

Disclaimer: The results are for informational purposes only and should not be used for decision making.

Geographic Aggregation Tool R Version 1.2

February 25, 2013
Environmental Health Surveillance Section
New York State Department of Health
Troy, NY

Table of Contents

Contact Information	1
Introduction	2
Requirements to run the tool	3
Files needed for run tool	3
Program files	3
The sample data	3
Your data	3
Setting up the R Program and batch file	3
Installing the GAT Tool	3
Downloading R	3
To run the tool	3
Selecting the Data file	3
Selecting the Identification Variable	3
Selecting the Boundary Variable	3
Selecting an Additional Aggregation Variable	3
Selecting the output file	3
Selecting the merge type	3
Calculating a Ratio	3
Confirm your selections	3
Run the program	3
Save your output files	3
Performance measures: the compactness ratio	3
Performance measures: the compactness ratio	3
Performance measures: the compactness ratio	3
Technical Notes	3
How the program merges	3
Caution for the Geographic Aggregation Tool	3
Description of the simulated low birth weight data set for New York State	3
Birth outcome fields	3
Socio-demographic fields	3

For further information on methods used to calculate
life expectancy in New York State see:

*Talbot et al. Calculating census tract-based life expectancy in
New York state: a generalizable approach. Pop Health Metrics, 2018 Jan 26; 16(1):1*

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